Comparing a Survivin Inhibitor to Carboplatin for Treating Small Cell Lung Cancer *in vitro*

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BRIEF. We find inhibition of survivin using YM155 to be more potent (lower-dose requirements) than the traditional, platinum-based chemotherapy carboplatin with the same maximal efficacy (loss of cell-viability) when treating small cell lung cancer cells *in vitro*.

ABSTRACT. Small cell lung cancer (SCLC) is an aggressive, frequently metastatic form of lung cancer with a higher death rate than all other lung cancer subtypes. Treatment methods for SCLC have not significantly improved since the introduction of platinum-based chemotherapy in the 1980s. One potential therapeutic avenue is targeting survivin, an upregulated oncogene that suppresses apoptosis and regulates mitotic spindle assembly. Inhibitors of these processes are efficacious in treating other types of tumors, such as breast and oral cancers. In this study, the efficacies and potencies of a survivin inhibitor (YM155) and a traditional platinum-based chemotherapy drug (carboplatin) were compared for treating SCLC. Cell growth in each drug was quantified using a bioluminescence cell viability assay and a direct-cell imaging assay. We find that the efficacies (E_{max}) of both drugs are similar; however, YM155 is more potent (EC50 approximately 45-fold lower) than carboplatin. This increased potency suggests that YM155 can be used at a lower dose concentration than carboplatin to achieve a comparable effect. Further experiments are required to study the combined efficacy of a survivin inhibitor and carboplatin.

INTRODUCTION.

Small cell lung cancer (SCLC) is a subtype of lung cancer characterized by smaller cells in histology stains than those of non-small cell lung cancer (NSCLC). Accounting for approximately 15-20% of all lung cancer cases, SCLC is strongly linked with tobacco inhalation [1,2]. SCLC metastasizes early, and while initially responsive to chemotherapy, it inevitably relapses and becomes resistant to subsequent interventions [1]. Lung and bronchus cancers have the highest yearly estimated death rate of all cancers at 22.3% [3] and it is reasonable to suspect that these death rates will continue to rise with the popularity of nicotine use, particularly vaping, among younger generations [4].

SCLC tumor initiation begins when genes regulating checkpoints in the cell cycle become mutated. The cell cycle is the process through which cells grow and divide, with checkpoints that verify cellular integrity to ensure successful completion of the cell cycle. These checkpoints induce cell cycle arrest when there is DNA damage [5]. The tumor suppressor gene p53 is one of the proteins responsible for controlling the DNA damage checkpoints; however, it is ubiquitously mutated in SCLC, allowing the cancer to continue to grow and divide despite the accrual of DNA damage [6]. SCLC is typically treated with platinum-based agents, such as carboplatin, which induce DNA damage to activate cell death. Because SCLC lacks p53 [7], which monitors the integrity of DNA, carboplatin preferentially damages SCLC. However, these treatments have serious side effects [8,9]. Many cancer patients experience nausea, vomiting, and peripheral sensory neuropathy while undergoing treatments [8]. Additionally, most tumors become resistant to platinum-based drugs, though the resistance mechanism is poorly understood [8]. Despite the high death rate of SCLC and the potentially harmful side effects of traditional platinum-based cancer treatment, there have not been any significant advancements in treatment methods in over three decades [1]. Therefore, a critical need exists for advances in treatment options for SCLC with fewer side effects and more durable responses.

One gene frequently over expressed in SCLC is survivin [10]. Survivin expression is normally suppressed by p53 and it is a critical regulator of mitotic spindle assembly thorough its interactions with Aurora kinase B [11,12]. Additionally, survivin suppresses apoptosis which is canonically activated by p53 in normal cells in response to DNA damage. Survivin suppresses apoptosis by directly binding activated effector caspases 3 and 7, enzymes that are responsible for programmed cell death [13]. Because survivin's role in regulating cell death (apoptosis) and cell division (mitotic spindle assembly) in the absence of p53 suppression, we predicted targeting survivin would result in a lower growth rate than standard DNA-damaging agents (carboplatin) in SCLC.

YM155 (sepantronium bromide) has previously been found to effectively target survivin and inhibit cell growth in neuroblastoma, pancreatic cancer, and NSCLC, which is why it was chosen for this experiment [14,15,16]. In this study, we compared the efficacies and potencies of carboplatin with YM155 in the treatment of SCLC using the H1048 SCLC cell line model. Potencies and efficacies were compared using two methods for cell growth quantification: bioluminescence and direct-cell imaging.

MATERIALS AND METHODS.

To quantify viable cells, the RealTime-Glo[™] MT Cell Viability Assay (RT-Glo) was used (Figure S1). This assay is a bioluminescent method of measuring cell viability in real time that does not kill the cells during measurement (i.e. non-lytic), as opposed to traditional endpoint measurements [17]. In brief, when the NanoLuc® Luciferase (enzyme) and MT Viability Substrate (prosubstrate) are incubated with viable cells, the prosubstrate is reduced by viable cells into the NanoLuc® Substrate. This substrate will then diffuse out of the cells into the media (solution cells are grown in) and react with the NanoLuc® Luciferase to produce light (Figure S1). Live cells are characterized by their ability to reduce the prosubstrate, meaning that a higher number of viable cells will increase the luminescence intensity observed. The second method of cell viability quantification was done by imaging live cells on the Cellavista cell imaging system. Cell nuclei were labeled with an H2B-RFP fluorescent tag via lentiviral transfection and cell count was calculated using image segmentation software.

Two drug response plates (384-well) were set up for this experiment, one for cell quantification using RT-Glo and the other using the Cellavista imaging system. Both consisted of H1048 SCLC cell line cells obtained from the American Type Culture Collection that were treated in triplicate with YM155 (survivin inhibitor), carboplatin (traditional chemotherapy), or DMSO (dimethyl sulfoxide, drug vehicle control),



Figure 1. The top figures (A) are time course graphs for YM155 (left) and carboplatin (right) using RT-Glo, and the bottom figures (B) are time course graphs using the Cellavista. Both graphs show a dose-dependent response to the drugs, but the graphs for YM155 shows a negative growth rate for more drug concentrations than the graphs for carboplatin.

according to a drug plate map (Figure S2). Cells were harvested from T-75 flasks grown to >80% confluency using 2 mL of Gibco™ TrypLE Express Enzyme Solution (1X) after the media was aspirated. The flask was incubated for 6 minutes at 37 °C and then vigorously tapped to loosen any cells that were still adhered to the flask. Next, the cell solution was centrifuged for 5 minutes at 300 g. After centrifuging, the supernatant was aspirated and the cell pellet was resuspended in 1 mL of Roswell Park Memorial Institute culture medium at 37 °C, supplemented with 1% penicillin streptomycin solution and 10% fetal bovine serum, and diluted to a concentration of 400 cells/ 80 µL. Next, for the RT-Glo plate, the MT Cell Viability Substrate and the NanoLuc® Enzyme were added to the cell solution to 1X concentration and mixed. This step was omitted for the Cellavista plate. Using the well plate map, 72 µL of the cell solution was added to each indicated well of the 384 well plate. Then, 80 µL of PBS (phosphate-buffered saline) was added to the border wells, and the plates were incubated at 37 °C overnight. The next day, 8 µL of each treatment (YM155, carboplatin, or DMSO) was serially diluted by a factor of 0.5 starting at a concentration of 10 µM, and no treatment was added to the last wells (see Figure S2 for plate map). The plates were then left to equilibrate in the incubator for one hour before the first measurements were taken.

Following plate set-up, measurements were taken every morning and evening for about 4 days. The luminescence of the plate with the MT Cell Viability Substrate and NanoLuc® Enzyme was measured via the Biotech H1 Synergy Multimode Plate Reader. The fluorescence of the second plate was measured using the Cellavista cell imaging system to quantify cell counts from the images taken.

RESULTS.

This experiment used two methods for cell growth quantification: bioluminescence and direct-cell imaging (see Methods), which will be referred to as RT-Glo and Cellavista, respectively. Growth curves were first compared for each drug and each data collection method (Figure 1). The drug-induced proliferation rate (DIP rate) for each drug was calculated using the time course graphs. The DIP rate is the slope of the line resulting from graphing the number of cell population doublings over time, and it is an unbiased metric of drug effect as compared to traditional endpoint assays because it considers differential rates of drug action [18]. In general, untreated cells will continuously double while cell populations treated with a slowacting drug will initially double and then decrease, and cell populations treated with a fast-acting drug will immediately decrease (Figure S3).

For the RT-Glo well plate, the time course graphs (Figure 1A) show that generally, for higher concentrations of drug, H1048 cell doubling had a low or negative DIP rate (slope of line) in response to both YM155 and carboplatin. However, a wider range of YM155 concentrations exhibited a negative DIP rate than carboplatin drug concentrations. Concentrations ranging from 10 μ M to 78 nM (8 total) of YM155 resulted in a low or negative change in log₂ cell count after ~60 hours, whereas only concentrations of 10 μ M to 2.5 μ M (3 total) of carboplatin had the same effect within the same time frame.

The Cellavista method (Figure 1B) demonstrated similar growth curves to the RT-Glo method (Figure 1A). A total of 8 drug concentrations of YM155, ranging from 10 μ M to 78 nM, resulted in a negative change in log₂ cell count at ~96 hours. In contrast, only 3 drug concentrations of carboplatin, ranging from 10 μ M to 2.5 μ M, achieved a similar effect in the same time frame. However, the magnitude of the DIP rate at the maximum tested concentrations is similar for carboplatin and YM155 in both assays. The efficacy (E_{max}) and potency (EC₅₀) of YM155 and carboplatin were calculated by fitting the Hill equation



Figure 2. Side-by-side comparisons of the dose-response curves for YM155 and carboplatin using RT-Glo (left) and the Cellavista (right). See Figure S5 for fits overlaid on raw DIP rate measurements.

DIP Rate =
$$E_{max} + \frac{(E_{min} - E_{max})}{1 + (\frac{d}{EC_{50}})^h}$$

to the calculated DIP rates at different drug concentrations (*d*) (Figure 2). The E_{max} is the maximum effect achievable for a drug and the EC₅₀ is the concentration of drug needed to achieve half of its maximum response (i.e. $(E_{min}-E_{max})/2$). Visually, the point at which the lines on the graphs plateau at the bottom is the E_{max} , and the EC₅₀ is represented by the center point of the dose-response curve (Figure S4). A drug with a negative E_{max} (negative growth rate) and low EC₅₀ (low dose required) is optimal and is correspondingly considered a drug with high efficacy and high potency.

Table 1 shows that the E_{max} for YM155 using RT-Glo was -0.005 h⁻¹ and -0.076 h⁻¹ for carboplatin: a slight difference of 0.071 h⁻¹. This can be observed visually, as the lines in Figure 2 level off at around the same value (See Figure S5 for visual inspection of the fit quality to raw DIP rates). The equal efficacies of the two drugs was confirmed in the Cellavista assay which found the E_{max} of YM155 was -0.007 h⁻¹ and the E_{max} of carboplatin was -0.079 h⁻¹: a difference of 0.072 h⁻¹.

Table 1. Efficacy (E_{max}) and potency (EC_{50}) measurements from the RT-Glo and Cellavista assays for YM155 and carboplatin.

	Emax [hr-1]		EC50 [uM]	
Drug	RT-Glo	Cellavista	RT-Glo	Cellavista
YM155	-0.005	-0.007	12.97	60.51
Carboplatin	-0.076	-0.079	1156.8	2260.6

However, while the drugs had similar efficacy, they differed in potency. Using RT-Glo, the EC₅₀ of YM155 was found to be 12.97 μ M, while the EC₅₀ of carboplatin was found to be 1156.8 μ M: a difference of 1143.8 μ M. In the Cellavista well plate, the EC₅₀ of YM155 was found to be 60.51 μ M, and the EC₅₀ of carboplatin was 2260.6 μ M: a difference of 2200.1 μ M. This large difference in potency means that YM155 can be used at a lower dose to achieve the same maximal effect.

Therefore, YM155 was found to be more potent than carboplatin with similar maximal efficacy in both assays (Figure S6).

DISCUSSION.

Treatment methods for SCLC have not advanced since the 1980s, despite the high death rates and negative side effects associated with chemotherapy. In this study, the efficacies of a survivin inhibitor (YM155) and a traditional platinum-based chemotherapy drug (carboplatin) were tested using two assays for cell growth quantification. Time course data and different pharmacological parameters of the drug-induced proliferation rate (DIP rate) were measured and compared to determine the efficacy and potency of each drug. Both assays demonstrated that the E_{max} (efficacy) of both drugs were similar, while the lower EC_{50} (higher potency) of YM155 means a lower concentration of drug can produce the same effect as a higher dose of carboplatin.

The hypothesis that a survivin inhibitor is more efficacious for treating SCLC than a traditional chemotherapy drug is not supported by the results of this experiment. However, the results suggest that YM155 is more potent than carboplatin. The average EC_{50} between the assays



Figure 3. Full time-course graph for all untreated wells in the RT-Glo well plate. The change in \log_2 fluorescence is expected to increase as time goes by when the cells are not treated with a drug; however, at ~60 hours, the reagents in the RT-Glo assay runs out and no longer reacted with live cells to produce luminescence. As a result, the luminescence signal was saturated.

was 36.74 μ M and 1,708.7 μ M for YM155 and carboplatin, respectively. This over a 45-fold reduction in the dosage requirements for the same effect. This means that YM155 could potentially reduce side effects commonly associated with chemotherapy via dose reduction.

Despite the substantial differences in the two assays, both gave similar quantifications of cell count in short timeframes. We found that reagent limitations reduced of the RT-Glo assay for longer assays. Indeed, the shortened timeline in Figure 1A as compared to Figure 1B is due to the reagents of the RT-Glo assay running out and no longer reacting with live cells. This produced a saturating luminescence signal after ~60 hours of measurements as shown in Figure 3; therefore, those portions of the graphs were removed to measure growth rate only for the points at which the fluorescence changes due to the presence of the drug. The Cellavista assay was used to account for the possible uncertainties of the RT-Glo assay; both methods concluded equal efficacy and increased potency of YM155 compared to carboplatin.

Future directions include optimizing the RT-Glo to measure drug effect for periods longer than ~60 hours. Additionally, similar experiments are required to test the combined effect of survivin inhibitors

and traditional platinum-based chemotherapy drugs as well as further investigate these inhibitors in more cell line models. The latter is particularly critical given the recent evidence of different subtypes of SCLC which cooperate to form a tumor niche [19]. Finally, since YM155 is more potent than carboplatin in treating SCLC *in vitro*, there is merit to test if the reduced drug requirements translate to a reduction of side effects *in vivo* depending on the pharmacokinetics of drug uptake. Future studies will include more *in vitro* experiments to determine the proper dose concentration in mouse models of SCLC.

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SUPPORTING INFORMATION.

See the file Scoggins_Supplemental_Figures for supplemental figures and legends.

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