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MEASUREMENT AND PUBLIC SERVICE MOTIVATION: NEW INSIGHTS, OLD QUESTIONS

DAVID E. LEWIS

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DAVID E. LEWIS

MEASUREMENT AND PUBLIC SERVICE MOTIVATION: NEW INSIGHTS, OLD QUESTIONS

DAVID E. LEWIS
VANDERBILT UNIVERSITY

ABSTRACT: *This paper reviews some recent research from political science that speaks to the public service motivation (PSM) literature. Specifically, it discusses research that describes new measures of bureaucratic ideology and agency performance. These new measures might usefully be employed in the PSM literature and a discussion of them highlights some potential pitfalls in PSM research more generally.*

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An important literature in public administration and management concerns public service motivation (PSM) (see Brewer 2008; Perry and Hondeghem 2008; Perry, Hondeghem, and Wise 2009). According to Perry and Wise (1990), PSM is “an individual’s predisposition to respond to motives grounded primarily or uniquely in public institutions.” Other definitions of PSM emphasize some form of “other-regarding” orientation but they differ in the importance they attach to public institutions (Perry and Hondeghem 2008, 4). A significant literature has emerged to explain the measurement, causes, prevalence, and impact of PSM, and justifiably so (for reviews see Brewer 2008; Perry, Hondeghem, and Wise 2009). If PSM is a key component of public sector performance, it is an incredibly important research program. Up to this point the bulk of the literature on PSM has developed within the fields of public administration and public management with important contributions from economics and political science (see, e.g., Francois 2000; Francois and Vlassopoulos 2008). I was asked as an outsider to this literature to contribute to this symposium. In that spirit, this paper reviews some recent work from political science that speaks to the PSM literature. Specifically, it discusses recent developments in political science that include new measures of bureaucratic ideology and performance. These new measures might usefully be employed in PSM research and a discussion of them highlights some potential obstacles to PSM research.

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The paper is divided into three sections. The first section discusses the relationship between PSM and ideology. It reviews new developments in the measurement of

bureaucratic ideology and proposes that these new measures could be used to help parse out the unique effects of PSM on different aspects of agency behavior. The second section describes the difficulties in measuring agency performance and reviews two new measures that could be used to help evaluate whether PSM influences outcomes. The final section concludes and suggests that more interaction between the fields of public administration, management, and political science can help move PSM research in new and productive directions.

DISENTANGLING PSM AND IDEOLOGY

Trying to connect PSM to outcomes raises a host of challenges (Brewer 2008). These challenges include disentangling whether PSM causes good performance or good performance causes PSM, and nailing down the precise definition of PSM. How scholars define PSM has important implications for the conclusions scholars draw about its antecedents and effects (Brewer 2008; Francois and Vlassopoulos 2008). For example, if college graduates with the highest levels of PSM are now more likely to identify a job in the not-for-profit sector as the natural response to their PSM, then what exactly is PSM (Light 2008)? How does the not-for-profit sector qualify as a “uniquely public institution,” a key component of one influential PSM definition? How PSM is defined influences how it is measured, and how it is measured has a direct bearing on scholarly inference.

Another key challenge is how to disentangle PSM both conceptually and empirically from ideology. PSM, under some common formulations, is plausibly correlated, arguably causally so, with ideology and this makes it difficult to evaluate the consequences of PSM for performance. For example, key parts of the definition presented by Perry and Wise (1990) are normative in nature and include a concern for social equity which “involves activities intended to enhance the well-being of minorities who lack political and economic resources.” A concern for social equity relative to other concerns in society is one component of a liberal-conservative ideology. Normative concerns with ideological content are included in the measure of PSM proposed by Perry (1996). Perry’s measures, for example, force respondents to rate the importance of social equity relative to other concerns such as liberty. The extent of PSM is also evaluated based upon respondent views about the importance of social programs, views about the underprivileged, and the importance of government intervention in society. Respondents who answer these questions in a way that results in a high score on a PSM index would also be liberal by most common definitions. These same questions could be used to develop a measure of liberalism-conservatism. There is not a perfect overlap between PSM and liberalism-conservatism but a noticeable overlap. As a result, work that evaluates the antecedents of PSM and finds a correlation between ideology and PSM may not have found a correlation because of a causal relationship but because measures of ideology and PSM are measuring the same thing.

More generally, empirical work that employs similar definitions or measures of PSM is possibly analyzing liberalism-conservatism. This is problematic since many of the surveys commonly used to evaluate the causes and consequences of PSM

do not include items directly dealing measuring ideology or partisanship because they are government surveys and cannot ask about political views. Estimating models without appropriate controls for ideology can generate biased estimates and lead to flawed inferences about the influence of PSM on performance. 80

Work on the antecedents of PSM may or may not find a relationship between ideology and PSM depending upon how PSM is defined and measured. For example, in Perry's (1997) careful work on the antecedents of PSM, he finds no correlation between ideology and PSM. He does, however, report correlations between self-reported ideology and the different components of PSM as measured in that work. For example, included in the PSM measure was a subscale called Attraction to Policy Making that included the following items: 85

- Politics is a dirty word [reversed].
- The give and take of public policymaking does not appeal to me [reversed]. 90
- I don't care much for politics [reversed].

Conservative respondents were more likely to rate high on this subscale while liberals were low. Yet, on another subscale called Self-Sacrifice, liberals were more likely to score high. This subscale included the following items among a mix of less ideological questions: 95

- Making a difference in society means more to me than personal achievements.
- I think people should give back to society more than they get from it.
- I am prepared to make enormous sacrifices for the good of society.
- I am one of those rare people who would risk personal loss to help someone else.

Both subscales were aggregated into one measure of PSM, which showed no relationship to ideology. One might reasonably contest the use of these questions to measure PSM or the decision to join these two subscales into one construct. The first set of questions appears to measure how much people like and are willing to engage in politics. The second set of questions measures the respondents' willingness to sacrifice for the good of the whole. Do these two subscales measure the same concept or discrete concepts? Perry (1997) reports that scores on these two subscales are moderately negatively correlated, implying that higher values on one subscale do not cluster with higher scores on the other subscale. To what extent, then, are the two subscales measuring the same underlying concept? The choice of questions and the definition of PSM determined if and how ideology and PSM were related as measured. This makes the use of good measures of ideology important in quantitative works exploring PSM. 100
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Measuring Agency Ideology

The example above illustrates how proper conceptual and empirical accounting for the role of ideology is necessary to draw any firm conclusions about PSM. Important advances have been made in political science in developing measures of agency ideology but also conducting surveys that ask explicitly about respondent ideology. Surveys by Aberbach and Rockman (1976, 1990, 2000), Maranto and Hult 115

(2004), and Bertelli et al. (2008) include questions about ideology and partisanship. These surveys often do not ask questions about public service motivation, however. 120

Since some of the surveys used to analyze PSM do not include questions about ideology, some accounting for ideology at the agency or bureau level could help. While individual measures of ideology would be ideal, bureau- or agency-level measures are better than no measures at all. There have been two main approaches recently in political science to measuring the ideology of agencies, each advancing 125 along different tracks. The first relies on expert judgments about agency ideology based upon objective information and subjective judgment. The second relies on observed behavior of agency employees to estimate the ideal points of agencies. At the simplest level agencies have been classified as liberal or conservative based upon their mission (e.g., regulation, defense) or politics at the time the agency was 130 created (Gilmour and Lewis 2006a, 2006b). The difficulty with these approaches is that they are imprecise and often subjective. Clinton and Lewis (2008) systematize these subjective assessments of agency preferences. They conduct an expert survey on agency preferences as the basis for generating estimates of agency ideology.¹ One difficulty with this approach is that experts who make bad evaluations can lead 135 to bad estimates. If experts are unfamiliar with lesser-known agencies or make consistent mistakes in categorizing agencies as liberal or conservative (e.g., Defense Nuclear Facilities Safety Board), this can be problematic.

Many works use the partisan identification of the president or presidential appointees to measure agency preferences (see, e.g., Cohen 1986; Epstein and O'Halloran 140 1999; Huber and Shipan 2002). Others use the votes or behavior that looks like "votes" to develop measures of ideology (Bertelli and Grose 2007, 2008; Moe 1985; Nixon 2004; Snyder and Weingast 2000). Recently, Bertelli et al. (2008) surveyed federal executives about their opinions on key votes in Congress. They use this information to generate ideal points on a liberal-conservative dimension 145 for each executive. The ideal points of agency officials are then aggregated to get one estimate of agency policy views for agencies. Ideally, measures of ideology could be generated for civil servants themselves to go alongside measures of PSM. Short of that, however, controlling for differences in ideological orientation of the agencies that employ these civil servants is a first step. 150

Data from either individual or expert surveys could be used to help empirically distinguish the unique effects of PSM from ideology. This would partly help account for the overlap between measures of PSM and ideology until the concept of PSM itself can be clarified and measured with more precision. There are many distinct definitions of PSM employed in the existing literature whereas the understanding 155 of liberal-conservative is relatively well established (for a discussion of different definitions see Brewer 2008; Perry, Hondeghem, and Wise 2009; Francois and Vlassopolous 2007).²

Q1

MEASURING AGENCY PERFORMANCE

One of the key justifications for PSM research is the hypothesized influence that 160 PSM has on organizational performance (Brewer 2008; Francois 2000; Perry,

Hondeghem, and Wise 2009). Perry and Wise (1990, 370) claim that “Public service motivation is positively related to individual performance,” and a number of works have argued for a relationship between PSM and correlates of high agency performance such as organizational commitment (see, e.g., Crewson 1997). Yet, empirically demonstrating a connection between PSM and performance has been challenging, particularly at the organizational level (Brewer 2008; Crewson 1997, 506; Perry and Wise 1990). One difficulty is translating self-reported performance in surveys into conclusions about organizational performance. Another has been defining good organizational performance objectively. Some new developments in political science may provide new measures of organizational performance to test scholarly theories about PSM.

PSM and Self-Reported Performance

There have been a number of studies that connect PSM to self-reported performance or evaluations. The difficulty with self-reports, however, is that we do not know whether people reliably report their evaluations since respondents may inflate their own ratings (Brewer 2008). We also do not know whether positive evaluations of individuals actually aggregate into high organizational performance. Individuals can perform individual jobs well, but the organization can falter if the organization does not have the proper structure, rules, processes, or job definitions that connect good individual performance to organizational goals.

One common way of measuring performance in public administration as it relates to PSM is to use employee survey data. Surveys are used to measure the degree of individual PSM and to evaluate employee or agency performance (see, e.g., Crewson 1997). For example, questions dealing with attraction to policymaking, commitment to the public interest, social justice, and duty are used to measure the strength of PSM and questions dealing with work environment, job satisfaction, and cross-organization comparisons are used to measure performance. The approach of using survey data to measure both the independent and dependent variables has limitations. One difficulty is that employees may not be best able to determine how well their agencies are performing in the absence of objective measures. Are employees happy at work and proud of what is happening in their agency because the agency is *really* working well or because the agency generally shares their values and priorities and meets their needs? Indeed, if employees with high PSM are also liberal, they are likely to support the mission of their agency, particularly in social welfare and regulatory agencies. If this is the case, they are more likely to perceive work in these agencies as important and rewarding and believe these agencies are working well.

A second, perhaps more serious concern, is that employees who are having a good experience in their agency likely will report more public service motivation, not because it exists exogenously but because of their good experience in the agency. When people feel satisfied in their agency, their general level of contentment increases and this influences not only the way they answer questions about their work happiness but also their answers to questions about their interest in policymaking, their commitment to the public interest, and selflessness. Correlations between

PSM and measures of performance may exist not because of a causal relationship 205
but because they are measuring the same thing.

PSM and Definitions of Performance

A key difficulty in connecting PSM to performance is the difficulty of objectively defining good performance. For example, if PSM motivates civil servants to hold high professional standards, will civil servants resist political direction that tells them 210
to violate professional standards or training? More pointedly, if the president directed the forest service to cut down the nation's forests would our expectation be that high PSM would lead forest service employees to carry out the task with alacrity or resist those efforts strongly? Which of those behaviors would be considered good performance? Would the professional foresters earn high or low evaluations 215
for trying to protect the nation's forests? What counts as good performance varies in different contexts. For executive officials such as governors or the president, a definition of good management must include responsiveness to the executive's policy agenda. Legislators, clients of the agency, and other interested parties are likely to have different definitions of good management, however. This makes finding an 220
agreed-upon measure of performance difficult.

To complicate matters, agencies operate in distinctly different environments to produce outputs from social security checks to homeland security. Agencies have different mandates, constraints, and resources that make comparisons perilous. New measures of performance analyzed in political science, however, arguably over- 225
come the difficulties described above. They define good performance in a defensible, transparent, and largely policy-neutral way and provide means of accounting for variations in management environment.

New Measures of Organizational Performance

Recent work in political science analyzes two notable new measures of govern- 230
ment performance that do not rely on employee survey data. They can be used to evaluate the relationship between PSM and performance. The first new measure is a program performance score developed by the Office of Management and Budget in the George W. Bush administration. Since 2002 the Bush administration has used a grading scheme based upon a survey instrument called the Program Assessment 235
Rating Tool (PART). The PART is used to numerically evaluate federal program performance on four dimensions: program purpose and design, strategic planning, program management, and program results. Federal programs earn grades from 0 to 100 based upon a series of 25–30 yes/no questions filled out jointly by agencies and OMB examiners. These scores were used by the Bush administration when 240
making budget decisions (Gilmour and Lewis 2006a). The total scores vary quite a bit. For the cohort graded in the FY 2006 budget, the average score is 62.78 and the minimum and maximum are 13.82 and 96.7, respectively. If the scores meaningfully measure program performance, then measures of PSM in the agencies

implementing these programs could be compared to PART scores to determine whether or not PSM influences program performance. 245

Whether or not the scores meaningfully measure program performance is an open question. PART scores have been criticized on a number of fronts with critics citing evidence of unequal standards for low or high grades across programs, variation in OMB examiner expertise, poor or inconsistent program definition across programs, 250 and different amounts of managerial control in different types of programs (U.S. Government Accountability Office 2004a, 2005a). Others, however, argue that this does not diminish PART's usefulness for evaluating comparative management quality or other PSM questions, provided one proceeds carefully (Gilmour and Lewis 2006b). Jung and Rainey (2009), for example, use PART related information 255 to explore the relationship between PSM and agency goal characteristics.³

A second approach to measuring performance comparatively is to look at macroeconomic or budgetary forecasting by administrative agencies. Krause and his colleagues use state general revenue forecasts or federal macroeconomic forecasts as objective measures of agency performance for a variety of projects (Krause and Corder 2007; Krause and Douglas 2005, 2006; Krause, Lewis, and Douglas 2006). 260 The nice feature about using forecasts is that scholars can observe what agency officials thought would happen versus what actually happened and can assess the quality of their performance easily. Forecasts can be accurate or inaccurate by varying amounts. They can also exhibit bias, either conservative (underestimates) 265 or optimistic (overestimates). Krause, Lewis, and Douglas (2006), for example, use state general revenue forecast data to evaluate how the different personnel systems of state budget offices influence their performance. They find that state budget agencies with either appointed directors and careerist employees or careerist directors and at-will employees produce the most accurate forecasts. Krause and Corder (2007) 270 look at performance in federal macroeconomic forecasts and compare the optimism of more and less politicized agencies. While forecasting data provide a clear and informative measure of agency performance, it is unclear whether the findings related to performance are generalizable to other types of agencies and other types of activities. 275

These new measures provide an important new avenue for evaluating the influence of PSM on agency performance. Specifically, do agencies filled with employees with a high level of PSM get higher PART scores? Among the agencies that do macroeconomic forecasting (Social Security Administration, Federal Reserve, Office of Management and Budget, Congressional Budget Office), does the one with the 280 highest levels of PSM produce more accurate forecasts?

DISCUSSION AND CONCLUSION

The literature on PSM continues to grow and develop. Scholars inside the field are looking outward to similar research in other disciplines to inform and clarify key definitions and measures. Indeed, Perry and Hondeghem (2008, 8) identify this 285 endeavor as one of the major tracks of emerging PSM research. While others have focused on some of the theoretical aspects of PSM research from political science,

this paper has focused on the measurement of key concepts in the PSM literature. It has examined the overlap between measures of PSM and ideology and described new means of measuring bureaucratic ideology emerging in political science. It has also described new measures of agency performance that might usefully be employed in the PSM literature. 290

This paper is an example that hopefully illustrates how the interaction of different fields with an interest in PSM can be productive. Focusing on issues of how to get individuals with PSM into government and the consequences of that, good and bad, for management is a productive research program and one to which scholars in a variety of fields can contribute. 295

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NOTES

1. Their estimates incorporate information about agency mission and the politics at the time they were created into the estimates.

2. For example, Perry and Wise (1990) refer to “motives grounded primarily or uniquely in public institutions and organizations.” Brewer and Selden (1998) refer to “the motivational force that induces individuals to perform meaningful...public, community, and social service.” Other work refers simply to altruistic or prosocial behavior (Brewer 2008). 305

3. Lewis, for example, cites evidence from interviews with OMB and agency officials and comparisons of PART scores to other measures of performance demonstrate that the scores, while not perfect, measure real differences in objective performance across federal programs (Gilmour 2006). He engages in a lengthy defense of the PART score for his purposes and uses PART scores to evaluate the management performance of political appointees versus career civil servants (Lewis 2008). 310

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ABOUT THE AUTHOR

David E. Lewis (david.lewis@vanderbilt.edu) is Professor of Political Science and Law at Vanderbilt University. His research interests include the presidency, executive branch politics, and public administration. His current projects explore the political views of government agencies and their employees, the politics of presidential appointments, and various aspects of public sector management performance. He is the author of *The Politics of Presidential Appointments: Political Control and Bureaucratic Performance* (2008), *Presidents and the Politics of Agency Design* (2003), and numerous articles on American politics, public administration, and management. 410