# Bounded Composition Operators on the Bidisk

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# Definition

For a bounded convex domain  $\Omega \subset \mathbb{C}^d$  ( $\Omega = \mathbb{D}$  or  $\mathbb{D}^2$ ), the composition operator  $C_{\varphi}$  is defined by  $C_{\varphi}f = f \circ \varphi$ , for an analytic self-map  $\varphi$  of  $\Omega$ .

Let  $H^2(\mathbb{D})$  and  $H^2(\mathbb{D}^2)$  be the Hardy spaces.

Littlewood's Subordination Principle

Every composition operator is bounded on  $H^2(\mathbb{D})$ .

Question: What about composition operators on  $H^2(\mathbb{D}^2)$ ?

For a point  $z \in \mathbb{D}^2$ , we use  $z = (z_1, z_2)$  to denote the coordinates of z.

### Example

If  $B(z_1, z_2) = (z_1, z_1)$ , then  $C_B$  is not bounded on  $H^2(\mathbb{D}^2)$ .

Jury reproved the boundedness of composition operators on  $H^2(\mathbb{D})$  using only reproducing kernels. We adapt this approach to study the two-variable case and find nontrivial bounded composition operators on  $H^2(\mathbb{D}^2)$ .

### Definition

Let  $X \subset \mathbb{C}^d$ . We say a function  $K : X \times X \to \mathbb{C}$  is a positive semi-definite  $(K \ge 0)$  if  $K(x, y) = \overline{K(y, x)}$ , and for all finite sets  $\{\lambda_1, \lambda_2, \ldots, \lambda_m\} \subset X$ , the matrix  $(K(\lambda_i, \lambda_j))_{i,j=1}^m \ge 0$ .

# Definition (RKHS)

A reproducing kernel Hilbert space  $\mathcal{H}$  on X is a Hilbert space of complex valued functions such that for every  $w \in X$ , there exists  $K_w \in \mathcal{H}$  satisfying

$$\langle f, K_w \rangle_{\mathcal{H}} = f(w)$$
, for every  $f \in \mathcal{H}$ .

Let  $K(z, w) = K_w(z)$ . Then  $K \ge 0$ .

## Moore's Theorem

Let  $X \subset \mathbb{C}^d$  and let  $K : X \times X \to \mathbb{C}$  be positive semi-definite. Then there exists a unique reproducing kernel Hilbert space with reproducing kernel K.

### Example

• 
$$K_{L^2_a(\mathbb{D})}(z,w) = \frac{1}{(1-\bar{w}z)^2}$$

• 
$$K_{H^2(\mathbb{D})}(z,w) = \frac{1}{1-\bar{w}z}$$

• 
$$K_{H^2(\mathbb{D}^2)}(z,w) = \frac{1}{(1-\bar{w}_1 z_1)(1-\bar{w}_2 z_2)}$$

# Proposition

Let  $K_1, K_2$  be positive semi-definite. Then

- $K_1 + K_2 \ge 0.$
- $\ 2 \ \ K_1 \cdot K_2 \geq 0.$

# **Multiplier** Criterion

Let  $\mathcal{H}$  be a RKHS with kernel K. Then f is a multiplier of  $\mathcal{H}$  with multiplier norm at most  $\delta$  if and only if

$$(\delta^2 - f(z)\overline{f(w)}) \cdot K(z,w) \ge 0.$$

If  $\delta \leq 1$ , then f is called a contractive multiplier of  $\mathcal{H}$ .

# Multiplier Criterion

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### de Branges-Rovnyak space

Let  $b \in H^{\infty}(\mathbb{D})$  and  $||b||_{\infty} \leq 1$ .  $\mathcal{H}(b)$  is the Hilbert space with reproducing kernel:

$$\frac{1-\overline{b(w)}b(z)}{1-\bar{w}z}.$$

It is invariant under  $S^*$ , the backward shift operator.

We use the following theorem to find bounded composition operators.

#### Theorem

Let  $B = (\phi, \psi)$  be an analytic map from  $\mathbb{D}^2$  to  $\mathbb{D}^2$ . Define a function R as

$${\cal R}(z,w)=rac{1-\overline{\phi(w)}\phi(z)}{1-ar{w_1}z_1}\cdotrac{1-\overline{\psi(w)}\psi(z)}{1-ar{w_2}z_2}$$

If  $R \geq 0$ , then  $C_B$  is a bounded composition operator on  $H^2(\mathbb{D}^2)$  and

$$||C_B|| \le \left(\frac{1+|\phi(0)|}{1-|\phi(0)|}\right)^{\frac{1}{2}} \cdot \left(\frac{1+|\psi(0)|}{1-|\psi(0)|}\right)^{\frac{1}{2}}$$

# Sub-Hardy Hilbert Space of the Bidisk

$$R(z,w) = (1 - \overline{\psi(w)}\psi(z)) \cdot \frac{1 - \overline{\phi(w)}\phi(z)}{(1 - \overline{w_1}z_1)(1 - \overline{w_2}z_2)}$$

### Multiplier Criterion

Let  $\mathcal{H}$  be a RKHS with kernel K. Then f is a contractive multiplier of  $\mathcal{H}$  if and only if

$$(1-f(z)\overline{f(w)})\cdot K(z,w)\geq 0.$$

$$\frac{1-\overline{\phi(w)}\phi(z)}{(1-\bar{w_1}z_1)(1-\bar{w_2}z_2)}\geq 0.$$

The Hilbert space with the above reproducing kernel, denoted by  $\mathcal{H}^2(\phi)$ , is called a sub-Hardy Hilbert space of the bidisk.

It is invariant under the two backward shift operators  $S_1^*, S_2^*$  on  $H^2(\mathbb{D}^2)$ .

$$\phi, \psi: \mathbb{D}^2 \to \mathbb{D}, R(z, w) = (1 - \overline{\psi(w)}\psi(z)) \cdot \frac{1 - \overline{\phi(w)}\phi(z)}{(1 - \overline{w_1}z_1)(1 - \overline{w_2}z_2)}.$$

### Proposition

 $R \ge 0$  if and only if  $\psi$  is a contractive multiplier of  $\mathcal{H}^2(\phi)$ .

We can easily show that  $R \ge 0$  for some special cases.

**1** If one of  $\phi, \psi$  is a constant.

**2** If  $\phi, \psi$  are one-variable functions in  $z_1$  and  $z_2$ , respectively  $(\phi = \phi(z_1), \psi = \psi(z_2))$ , then  $\frac{1 - \overline{\phi(w_1)}\phi(z_1)}{1 - \overline{w_1}z_1} \ge 0$  and  $\frac{1 - \overline{\psi(w_2)}\psi(z_2)}{1 - \overline{w_2}z_2} \ge 0$ , so the product R is positive semi-definite.

# Multipliers of $\mathcal{H}^2(\phi)$

### Theorem

If  $\psi$  is a contractive multiplier of  $\mathcal{H}^2(\phi)$ , then  $C_B$  is bounded on  $H^2(\mathbb{D}^2)$ , with  $B = (\phi, \psi)$ .

### Theorem

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If B maps  $\mathbb{D}^2$  into a compact subset of  $\mathbb{D}^2$ , then  $C_B$  is bounded on  $H^2(\mathbb{D}^2)$ . So we assume  $||\phi||_{\infty} = 1$ .

If  $\phi$  is inner, then we get no nontrivial bounded composition operators.

#### Theorem

If  $\phi$  is a nonconstant inner function,  $\psi$  is a nonconstant multiplier of  $\mathcal{H}^2(\phi)$ . Then one of the functions  $\phi, \psi$  is a one-variable function in  $z_1$  and the other is a one-variable function in  $z_2$ .

The study of  $\mathcal{H}(b)$  bifurcates into two cases:

- b is nonextreme: log(1 − |b|<sup>2</sup>) ∈ L<sup>1</sup>(T)
   (b is not an extreme point of the closed unit ball of H<sup>∞</sup>)
- 2 b is extreme:  $log(1 |b|^2) \notin L^1(\mathbb{T})$

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- 2 b is extreme:  $log(1 |b|^2) \not\in L^1(\mathbb{T})$

When b is nonextreme, there exists an outer function  $a (a(0) \neq 0)$  s.t.  $|a|^2 + |b|^2 = 1$ , a.e. on  $\mathbb{T}$ .

$$|a|^2 + |b|^2 = 1 \Rightarrow T_{\bar{a}}T_a = I - T_{\bar{b}}T_b.$$

Here  $T_b$  is the Toeplitz operator defined by  $T_b(f) = P(bf)$ .

For  $\mathcal{H}^2(\phi)$ , we assume there is a nonconstant function  $a \in H^\infty(\mathbb{D}^2)$  such that

$$|a|^2 + |\phi|^2 = 1$$

a.e. on  $\mathbb{T}^2$ . We call *a* the Pythagorean mate for  $\phi$ .

However, the condition  $log(1 - |\phi|^2) \in L^1(\mathbb{T}^2)$  is only necessary for  $\phi$  to have a Pythagorean mate.

Also  $\phi$  may have a Pythagorean mate vanishing at 0.

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### Example

• 
$$\phi(z) = \frac{1+z_1}{2}, \ a(z) = \frac{1-z_1}{2}.$$
  
•  $\phi(z) = \frac{z_1+z_2}{2}, \ a(z) = \frac{z_1-z_2}{2}.$ 

# Theorem (Lotto, Sarason)

If b is nonextreme, then every function that is continues on  $\overline{\mathbb{D}}$  is a multiplier of  $\mathcal{H}(b)$ .

The proof relies on the following

•  $b \in \mathcal{H}(b)$ 

2 For any 
$$h \in \mathcal{H}(b)$$
,  $X^*h = Sh - \langle h, S^*b \rangle_{\mathcal{H}(b)}b$ , where  $X = S^*|_{\mathcal{H}(b)}$ .

Then  $S|_{\mathcal{H}(b)} = \text{Contraction} + \text{Compact operator, by Fredholm theory,}$  $\sigma(S|_{\mathcal{H}(b)}) \subset \overline{\mathbb{D}}$ . If f is continuous on  $\overline{\mathbb{D}}$ , then  $f(S|_{\mathcal{H}(b)})$  is defined and coincides with the multiplication operator  $M_f$ .

Let 
$$X = S^*|_{\mathcal{H}(b)}$$
  
 $X^*h = Sh - \langle h, S^*b \rangle_{\mathcal{H}(b)}b,$ 

for any  $h \in \mathcal{H}(b)$ .

Suppose  $\phi$  and has a Pythagorean mate a. For  $\mathcal{H}^2(\phi)$ , let  $X_1 = S_1^*|_{\mathcal{H}^2(\phi)}$ . Then we still have  $\phi \in \mathcal{H}^2(\phi)$ , but for every  $h \in \mathcal{H}^2(\phi)$ 

$$X_1^*h(w) = w_1h(w) - \left\langle h(z), \frac{1}{1 - \bar{w}_2 z_2}(S_1^*\phi)(z) \right\rangle_{\mathcal{H}^2(\phi)} \phi(w).$$

### Theorem

Suppose  $\phi$  and has a Pythagorean mate *a*. Then every polynomial is a multiplier of  $\mathcal{H}^2(\phi)$ .

## Question

- If  $\psi$  is continuous on  $\overline{\mathbb{D}^2}$ , is  $\psi$  a multiplier of  $\mathcal{H}^2(\phi)$ 
  - 2 What about the "extreme case" in  $\mathbb{D}^2$ ?

# The End