

# Background on Turing Degrees and Jump Operators

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# Basic Notation – Topological Spaces

**Baire Space:**  $\mathbb{N}^{\mathbb{N}}$  with topology generated by subbasis, for  $\sigma \in \mathbb{N}^*$ ,

$$N_{\sigma} := \{f \in \mathbb{N}^{\mathbb{N}} \mid \sigma \subset f\}$$

**Cantor Space:**  $2^{\mathbb{N}} = \{0, 1\}^{\mathbb{N}}$  with subspace topology.

## Proposition

- 1  $\mathbb{N}^{\mathbb{N}}$  is homeomorphic to  $\mathbb{R} \setminus \mathbb{Q}$  with the subspace topology.
- 2  $2^{\mathbb{N}}$  is compact (and is homeomorphic to any compact Hausdorff space without isolated points having a countable basis of clopen sets).

Elements of  $2^{\mathbb{N}}$  are freely identified with subsets of  $\mathbb{N}$  via their characteristic functions.

## Basic Notation – Partial Functions

**Notation:**  $f : \subseteq A \rightarrow B$  is a function  $f : \text{dom } f \rightarrow B$  where  $\text{dom } f \subseteq A$ .

**Convergence:**  $f(x) \downarrow$  if  $x \in \text{dom } f$  ( $f$  converges or is defined at  $x$ )  
 $f(x) \uparrow$  otherwise ( $f$  diverges or is undefined at  $x$ ).

**Strong Equality:**  $f \simeq g$  if and only if  $f$  and  $g$  converge on same inputs and are equal when they converge.

# Partial Recursive Functions

## Definition (Partial Recursive)

Suppose  $f : \subseteq \mathbb{N}^k \rightarrow \mathbb{N}$  is given.

$f$  is **partial recursive**  $\iff f$  is algorithmically computable

where 'algorithm' is interpreted in your favorite programming language.

If  $e$  is the Gödel number of such an algorithm, write

$$\varphi_e^{(k)}(m_1, \dots, m_k) \simeq f(m_1, \dots, m_k)$$

We call  $e$  an **index** of  $f$ .

# Equivalent Characterizations of Partial Recursiveness

Being a bit more precise:

## Theorem

*Suppose  $f : \subseteq \mathbb{N} \rightarrow \mathbb{N}$  is given. The following are equivalent:*

- 1  $f$  is Turing machine computable.
- 2  $f$  is register machine program computable.
- 3  $f$  is  $\mu$ -recursive.
- 4  $f$  is  $\lambda$ -computable.

## Church-Turing Thesis

*A partial function  $f : \subseteq \mathbb{N} \rightarrow \mathbb{N}$  is computable by a digital computer (ignoring resource limitations) if and only if it is computable by any of the above equivalent definitions.*

# Partial Recursive Functionals

## Definition (Partial Recursive)

Suppose  $\Psi : \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k \rightarrow \mathbb{N}$  is given.

$\Psi$  is **partial recursive**  $\iff \Psi$  is algorithmically computable

where 'algorithm' now includes oracle/black-box computations that make use of the function parameter.

If  $e$  is the Gödel number of such an algorithm, write

$$\varphi_e^{(k),f}(m_1, \dots, m_k) \simeq \Psi(f, m_1, \dots, m_k)$$

We call  $e$  an **index** of  $\Psi$ .

## Some Basic Results

### Theorem (Enumeration Theorem)

*The partial functions  $F(e, m_1, \dots, m_k) \simeq \varphi_e^{(k)}(m_1, \dots, m_k)$  and  $\Psi(f, e, m_1, \dots, m_k) \simeq \varphi_e^{(k), f}(m_1, \dots, m_k)$  are partial recursive.*

### Theorem (Parametrization Theorem)

*Suppose  $F : \subseteq \mathbb{N}^{k+1} \rightarrow \mathbb{N}$  is partial recursive. Then there exists a primitive recursive  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that*

$$F(e, m_1, \dots, m_k) \simeq \varphi_{f(e)}^{(k)}(m_1, \dots, m_k)$$



# Turing Reducibility, Equivalence, and Degrees

## Definition (Turing Reducibility)

Suppose  $f, g \in \mathbb{N}^{\mathbb{N}}$ . The **Turing reducibility** preorder  $\leq_T$  is defined by

$$\begin{aligned} f \leq_T g &\iff f \text{ is algorithmically computable using oracle } g \\ &\iff f = \varphi_e^{(1),g} \text{ for some } e \end{aligned}$$

$f$  and  $g$  are **Turing equivalent**,  $f \equiv_T g$ , if and only if  $f \leq_T g$  and  $g \leq_T f$ .  $\equiv_T$  is an equivalence relation.

Can similarly define  $g$ -computability ( $g \in \mathbb{N}^{\mathbb{N}}$ ) for partial functions or predicates.

## Definition (Turing Degree)

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$ . The **Turing degree** associated with  $f$  is

$$\text{deg}_T(f) := \{g \in \mathbb{N}^{\mathbb{N}} \mid f \equiv_T g\}$$

# Basic Results

## Proposition

Suppose  $f, g \in \mathbb{N}^{\mathbb{N}}$  and define  $(f \oplus g)(n) := \begin{cases} f(m) & \text{if } n = 2m \\ g(m) & \text{if } n = 2m + 1 \end{cases}$ . Then

$$\sup(\deg_T(f), \deg_T(g)) = \deg_T(f) \vee \deg_T(g) = \deg_T(f \oplus g)$$

## Proof.

Straight-forward. □

## Proposition

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$ . Then there exists  $X \in 2^{\mathbb{N}}$  such that  $f \equiv_T X$ .

## Proof.

Let  $X$  be the characteristic function for the graph of  $f$  (under some suitable recursive pairing function of  $\mathbb{N}$ ). □

# Existence of Non-Recursive Functions

## Proposition

*There exists  $f \in \mathbb{N}^{\mathbb{N}}$  which is non-recursive.*

## Proof.

The set of recursive 1-place functions is countable ( $e \mapsto \varphi_e^{(1)}$  yields a surjection of  $\mathbb{N}$  onto class of recursive functions), but  $\mathbb{N}^{\mathbb{N}}$  is uncountable. □

# Existence of Non-Recursive Functions – Halting Problem

A particular example:

## Definition (Halting Problem)

$$0' := \{e \in \mathbb{N} \mid \varphi_e^{(1)}(e) \downarrow\}$$

## Proposition

$0'$  is non-recursive.

## Proof.

$$f(e) \simeq \begin{cases} 1 & \text{if } e \notin 0' \\ \text{undefined} & \text{if } e \in 0' \end{cases}$$

If  $0'$  is recursive, so is  $f$ . Let  $e$  be an index for  $f$ .  $e \in 0'$ ?

Case 1:  $e \in 0'$ . Then  $f(e) \uparrow$  by definition, but  $f(e) \downarrow$  by hypothesis.

Case 2:  $e \notin 0'$ . Then  $f(e) \downarrow$  by definition, but  $f(e) \uparrow$  by hypothesis.



# Turing Jump

Relativizing the halting problem to an arbitrary oracle results in the following definition:

## Definition (Turing Jump)

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$ . The **Turing jump** of  $f$  is defined by

$$f' := \{e \in \mathbb{N} \mid \varphi_e^{(1),f}(e) \downarrow\}$$

## Proposition

$$f <_{\text{T}} f'$$

## Proposition

If  $f \leq_{\text{T}} g$ , then  $f' \leq_{\text{T}} g'$ . Consequently, the Turing jump is well-defined on Turing degrees.

# Iterated Turing Jumps

Can define iterated Turing jumps:

$$\begin{aligned}f^{(0)} &:= f \\f^{(n+1)} &:= (f^{(n)})'\end{aligned}$$

To extend past the finite ordinals, we set

$$f^{(\omega)} := \bigoplus_{n=0}^{\infty} f^{(n)} = \{ \langle n, e \rangle \mid e \in f^{(n)} \}$$

In general, can define  $f^{(\alpha)}$  for any *recursive ordinal*. (Requires some care to ensure well-definedness up to Turing equivalence.)

# Theorems about the Turing Jump

## Theorem (Friedberg's Jump Theorem/Jump Inversion Theorem)

*If  $0' \leq_T A$ , then there exists  $B$  such that  $A \equiv_T B' \equiv_T B \oplus 0'$ .*

Consequently, every  $A \geq_T 0'$  is (Turing equivalent to) a Turing jump.

## Theorem (Posner-Robinson Theorem)

*Suppose  $0 <_T Z \leq_T A$  and  $0' \leq_T A$ . Then there exists  $B$  such that*

$$A \equiv_T B' \equiv_T B \oplus Z$$

Consequently, every non-recursive  $Z$  is, relative to some  $B$ , a Turing jump.

# Relativized Arithmetical Hierarchy

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$ .

## Definition

$$\begin{aligned}\Sigma_0^{0,f} = \Pi_0^{0,f} = \Delta_0^{0,f} &:= \{R \mid R \subseteq \mathbb{N}^k \text{ (} k \in \mathbb{N} \text{) a } f\text{-recursive predicate}\} \\ \Sigma_{n+1}^{0,f} &:= \{S \mid S(\vec{m}) \equiv \exists n R(n, \vec{m}) \text{ for } R \in \Pi_n^{0,f}\} \\ \Pi_{n+1}^{0,f} &:= \{S \mid S(\vec{m}) \equiv \forall n R(n, \vec{m}) \text{ for } R \in \Sigma_n^{0,f}\} \\ \Delta_n^{0,f} &:= \Sigma_n^{0,f} \cap \Pi_n^{0,f}\end{aligned}$$

## Proposition

- 1  $\Sigma_n^{0,f}$  ( $\Pi_n^{0,f}$ ) is closed under conjunction, disjunction, and bounded quantification.
- 2  $S \subseteq \mathbb{N}^k$  is  $\Sigma_n^{0,f}$  if and only if  $S^c$  is  $\Pi_n^{0,f}$ .
- 3 For each  $n$ ,

$$\Sigma_n^{0,f} \cup \Pi_n^{0,f} \not\subseteq \Delta_{n+1}^{0,f} = \Sigma_{n+1}^{0,f} \cap \Pi_{n+1}^{0,f}$$



# Recursively-Enumerable relative to an Oracle

## Definition

$S \subseteq \mathbb{N}$  is **recursively enumerable relative to**  $f \in \mathbb{N}^{\mathbb{N}}$  if  $S$  is  $\Sigma_1^{0,f}$ .

## Proposition

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$  and  $S \subseteq \mathbb{N}$ . The following are equivalent:

- 1  $S$  is  $\Sigma_1^{0,f}$ .
- 2  $S$  is the domain of some partial function partial recursive relative to  $f$ .
- 3  $S = \emptyset$  or  $S$  is the range of some  $g \leq_T f$ .

## Proposition

Suppose  $f \in \mathbb{N}^{\mathbb{N}}$  and  $S \subseteq \mathbb{N}$ . Then  $S \leq_T f$  if and only if  $S$  is  $\Delta_1^{0,f}$ .

## $\Sigma_1^{0,f}$ and $f'$

An important interpretation of  $f'$  is as a uniform complete relativized r.e. set:

### Theorem

*$f'$  is a complete  $\Sigma_1^{0,f}$  set, i.e. if  $S$  is  $\Sigma_1^{0,f}$ , there exists a total recursive function  $g$  such that  $g^{-1}[f'] = S$ .*

Iterated Turing jumps are closely related to higher levels of the relativized arithmetical hierarchy:

### Theorem (Post's Theorem)

*$S$  is  $\Sigma_1^{0,f^{(n)}}$  if and only if  $S$  is  $\Sigma_{n+1}^{0,f}$ .*

# Arithmetical Hierarchy of Subsets of $\mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k$

## Definition

$$\Sigma_0^0 = \Pi_0^0 = \Delta_0^0 := \{R \mid R \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k \text{ (} k \in \mathbb{N} \text{) a recursive predicate}\}$$

$$\Sigma_{n+1}^0 := \{S \mid S(f, \vec{m}) \equiv \exists n R(n, f, \vec{m}) \text{ for } R \in \Pi_n^0\}$$

$$\Pi_{n+1}^0 := \{S \mid S(f, \vec{m}) \equiv \forall n R(n, f, \vec{m}) \text{ for } R \in \Sigma_n^0\}$$

$$\Delta_n^0 := \Sigma_n^0 \cap \Pi_n^0$$

$S$  is **arithmetical** if  $S \in \Sigma_n^0$  for some  $n$ .

## Proposition

- 1  $\Sigma_n^0$  ( $\Pi_n^0$ ) is closed under conjunction, disjunction, and bounded quantification.
- 2  $S \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k$  is  $\Sigma_n^0$  if and only if  $S^c$  is  $\Pi_n^0$ .
- 3 For each  $n$ ,

$$\Sigma_n^0 \cup \Pi_n^0 \not\subseteq \Delta_{n+1}^0 = \Sigma_{n+1}^0 \cap \Pi_{n+1}^0$$

# Universal Predicates

## Theorem

For each  $n$  and  $k$ , there exists a universal  $\Sigma_n^0$  (resp.  $\Pi_n^0$ ) predicate  $U \subseteq \mathbb{N}^{k+1}$ , i.e. for each  $\Sigma_n^0$  (resp.  $\Pi_n^0$ ) predicate  $S \subseteq \mathbb{N}^k$  there exists  $e \in \mathbb{N}$  such that

$$S(\vec{m}) \equiv U(e, \vec{m})$$

## Proof (Sketch).

For  $n = 1$ ,  $U(e, \vec{m}) := \varphi_e^{(k)}(\vec{m}) \downarrow$ . □

## Corollary

For each  $n, k$ , there is an effective enumeration of the  $\Sigma_n^0$  (resp.  $\Pi_n^0$ ) predicates  $S \subseteq \mathbb{N}^k$ .

Analogous results for predicates  $\subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k$ .

# Uniformization of $\Sigma_1^0$ Sets

## Theorem

*Suppose  $S \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}$  is  $\Sigma_1^0$ . Then there exists  $\hat{S} \subseteq S$  which is  $\Sigma_1^0$  and for which if there exists  $n$  such that  $S(f, n)$ , then there exists a unique  $n$  such that  $\hat{S}(f, n)$ .*

## Proof.

Suppose  $S(f, n) \equiv \exists m R(f, n, m)$  where  $R$  is recursive. Define

$$\hat{S}(f, n) \equiv \exists m (R(f, (m)_0, (m)_1) \wedge (n = (m)_0) \wedge \neg \exists (k < m) R(f, (k)_0, (k)_1))$$

which has the desired properties.

(Here  $m \mapsto ((m)_0, (m)_1)$  is some recursive pairing function.) □

# $\Pi_1^0$ Sets and Recursive Trees

## Definition (Recursive Tree)

A **recursive tree** is a recursive  $T \subseteq \mathbb{N}^*$  closed under initial segments. A **path** through  $T$  is an  $f \in \mathbb{N}^{\mathbb{N}}$  such that  $f \upharpoonright n \in T$  for all  $n$ .

## Proposition

$P \subseteq 2^{\mathbb{N}}$  (resp.  $P \subseteq \mathbb{N}^{\mathbb{N}}$ ) is  $\Pi_1^0$  if and only if  $P$  is the set of paths through a recursive subtree of  $2^*$  (resp.  $\mathbb{N}^*$ ).

## Proof.

$$\begin{aligned} P &= \{X \in 2^{\mathbb{N}} \mid \varphi_e^{X,(1)}(e) \uparrow\} && \text{for some } e \\ &= \{X \in 2^{\mathbb{N}} \mid \forall n \varphi_e^{X \upharpoonright n, (1)}(e) \uparrow\} \\ &= \{X \in 2^{\mathbb{N}} \mid X \text{ a path through } T\} \end{aligned}$$

where  $T = \{\sigma \in 2^* \mid \varphi_e^{\sigma, (1)}(e) \uparrow\}$  is recursive. Analogous for  $\mathbb{N}^{\mathbb{N}}$  and  $\mathbb{N}^*$ . □

# Properties of $\Pi_1^0$ Classes

Let  $P_e = \{X \in 2^{\mathbb{N}} \mid \varphi_e^{(1),X}(e) \uparrow\}$ .

## Proposition

- 1 For every  $X \in 2^{\mathbb{N}}$ ,  $X' = \{e \in \mathbb{N} \mid X \in P_e\}$ .
- 2 If  $Q \subseteq 2^{\mathbb{N}} \times \mathbb{N}^k$  is  $\Pi_1^0$ , there is  $\alpha : \mathbb{N}^k \rightarrow \mathbb{N}$  primitive recursive such that for all  $f \in 2^{\mathbb{N}}$  and  $m_1, \dots, m_k \in \mathbb{N}$ ,

$$X \in P_{\alpha(m_1, \dots, m_k)} \iff Q(X, m_1, \dots, m_k)$$

## Corollary

There is a primitive recursive function  $v : \mathbb{N}^2 \rightarrow \mathbb{N}$  such that

$$P_{v(n,m)} = P_n \cap P_m$$

## Basis Theorems for $\Pi_1^0$ Classes

Let  $P$  be a non-empty  $\Pi_1^0$  subset of  $2^{\mathbb{N}}$ .

### Theorem (Kleene Basis Theorem)

*There exists  $B \in P$  such that  $B \leq_T 0'$ .*

This can be substantially strengthened:

### Theorem (Jump Inversion)

*Suppose  $P$  is special, i.e. contains no recursive elements. Then for every  $A \geq_T 0'$ , there exists  $B \in P$  such that*

$$A \equiv_T B' \equiv_T B \oplus 0'$$

In a different direction:

### Theorem (Kreisel Basis Theorem)

*If  $0 <_T Z$ , then there exists  $B \in P$  such that  $Z \not\leq_T B$ .*



# Analytical Hierarchy

## Definition

$$\Sigma_0^1 = \Pi_0^1 = \Delta_0^1 := \{R \mid R \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^k \text{ (} k \in \mathbb{N} \text{) an arithmetical predicate}\}$$

$$\Sigma_{n+1}^1 := \{S \mid S(f, \underline{m}) \equiv \exists g R(f \oplus g, \underline{m}) \text{ for } R \in \Pi_n^1\}$$

$$\Pi_{n+1}^1 := \{S \mid S(f, \underline{m}) \equiv \forall g R(f \oplus g, \underline{m}) \text{ for } R \in \Sigma_n^1\}$$

$$\Delta_n^1 := \Sigma_n^1 \cap \Pi_n^1$$

$S$  is **analytical** if  $S \in \Sigma_n^1$  for some  $n$ .

Analogous definition for subsets of  $\mathbb{N}^k$ , as well as a *relativized* analytical hierarchy.

As with the arithmetical hierarchy, there are complete  $\Sigma_n^1$  and  $\Pi_n^1$  sets for each  $n$ .

# Hyperarithmetical Sets

Suppose  $X \in 2^{\mathbb{N}}$ .

## Theorem (Kleene)

*The following are equivalent:*

- 1  $X \leq_T 0^{(\alpha)}$  for some recursive ordinal  $\alpha$ .
- 2  $X \subseteq \mathbb{N}$  is  $\Delta_1^1$ .
- 3  $X$  is an element of any  $\omega$ -model of ZFC.

## Definition

$X \in 2^{\mathbb{N}}$  is **hyperarithmetical** if any of the equivalent conditions above hold. If  $f \in \mathbb{N}^{\mathbb{N}}$  and  $f \equiv_T X$ , then  $f$  is hyperarithmetical exactly when  $X$  is.

$$\text{HYP} := \{f \in \mathbb{N}^{\mathbb{N}} \mid f \text{ is hyperarithmetical}\}$$

# Hyperarithmetical Reducibility

Relativizing the equivalent definitions of hyperarithmeticity yields the following:

## Theorem

Suppose  $X, Y \in 2^{\mathbb{N}}$ . The following are equivalent:

- 1  $X \leq_T Y^{(\alpha)}$  for some ordinal  $\alpha$  recursive in  $Y$ .
- 2  $X \subseteq \mathbb{N}$  is  $\Delta_1^{1,Y}$ .
- 3  $X$  is an element of any  $\omega$ -model of ZFC which contains  $Y$ .

## Definition

Suppose  $X, Y \in 2^{\mathbb{N}}$ . The **hyperarithmetical reducibility** preorder  $\leq_{\text{HYP}}$  is defined by declaring  $X \leq_{\text{HYP}} Y$  if any of the equivalent conditions above hold.

$X$  and  $Y$  are **hyperarithmetically equivalent**,  $X \equiv_{\text{HYP}} Y$ , if and only if  $X \leq_{\text{HYP}} Y$  and  $Y \leq_{\text{HYP}} X$ .  $\equiv_{\text{HYP}}$  is an equivalence relation.

# Existence of Non-Hyperarithmetical Functions – Kleene's $\mathcal{O}$

A cardinality argument shows that existence of non-hyperarithmetical functions. As a particular example:

## Definition (Kleene's $\mathcal{O}$ )

$\mathcal{O}$  is a fixed complete  $\Pi_1^1$  subset of  $\mathbb{N}$ .

## Theorem

$\mathcal{O}$  is not hyperarithmetical.

## Proof.

Being a complete  $\Pi_1^1$  set implies that it is not  $\Delta_1^1$ . □

## $\Sigma_1^1$ Sets as the Analogue for $\Pi_1^0$ Sets

One might expect that  $\Sigma_1^1$  sets correspond to  $\Sigma_1^0$  sets, but that is not the case.

$\Sigma_1^0$  sets instead correspond more closely to  $\Pi_1^1$  sets.

### Theorem ( $\Pi_1^1$ Uniformization)

*Suppose  $S \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}$  is  $\Pi_1^1$ . Then there exists  $\hat{S} \subseteq S$  which is  $\Pi_1^1$  and for which if there exists  $n$  such that  $S(f, \vec{m}, n)$ , then there exists a unique  $n$  such that  $\hat{S}(f, \vec{m}, n)$ .*

(Kondo's Theorem shows that  $\Pi_1^1$  Uniformization holds for  $S \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}}$  (much harder).)

$\Sigma_1^1$  Uniformization fails badly!

# Hyperjumps

Our characterizations of the Turing jump motivates the existence of a similar operation with analogous use of the analytical hierarchy (with the twist that  $\Sigma_1^{0,X}$  corresponds to  $\Pi_1^{1,X}$ ).

## Definition

The **hyperjump** of  $X$  is a fixed complete  $\Pi_1^{1,X}$  set  $\mathcal{O}^X$  (uniform in  $X$ ).

## Proposition

If  $X \leq_{\text{HYP}} Y$ , then  $\mathcal{O}^X \leq_{\text{T}} \mathcal{O}^Y$ . Consequently, the hyperjump is well-defined on hyper degrees.

# The Analogy between Arithmetical and Hyperarithmetical Theory

Arithmetical Theory	Hyperarithmetical Theory
Arithmetical Hierarchy	Analytical Hierarchy
Recursive = $\Delta_1^0$	Hyperarithmetical = $\Delta_1^1$
Turing reducibility	Hyperarithmetical reducibility
$\Pi_1^0$	$\Sigma_1^1$
Turing jump	Hyperjump
First-Order Logic	$\omega$ -logic

# Properties of $\Sigma_1^1$ Classes

The analogy holds well in terms of the relation between the hyperjump and  $\Sigma_1^1$  sets, as well as the structural properties of  $\Sigma_1^1$  sets.

## Proposition

- ① For every  $X \in 2^{\mathbb{N}}$ ,

$$\mathcal{O}^X \equiv_T \{e \in \mathbb{N} \mid X \in P_e^*\}$$

- ② If  $K \subseteq 2^{\mathbb{N}} \times \mathbb{N}^k$  is  $\Sigma_1^1$ , there is  $\alpha : \mathbb{N}^k \rightarrow \mathbb{N}$  primitive recursive such that for all  $X \in 2^{\mathbb{N}}$  and  $m_1, \dots, m_k \in \mathbb{N}$ ,

$$X \in P_{\alpha(m_1, \dots, m_k)}^* \iff K(X, m_1, \dots, m_k)$$



# Basis Theorems for $\Sigma_1^1$ Classes

Let  $K$  be a non-empty  $\Sigma_1^1$  subset of  $2^{\mathbb{N}}$ .

## Theorem (Gandy Basis Theorem)

*There exists  $B \in K$  such that  $B <_{\text{HYP}} \mathcal{O}$ . Consequently,  $\omega_1^B = \omega_1^{\text{CK}}$ .*

## Theorem (Hyperjump Inversion)

*Suppose  $K$  is special, i.e. contains no hyperarithmetical elements. Then for every  $A \geq_T \mathcal{O}$ , there exists  $B \in K$  such that*

$$A \equiv_T \mathcal{O}^B \equiv_T B \oplus \mathcal{O}$$

## Theorem (Kreisel Basis Theorem)

*If  $Z$  is not hyperarithmetical, then there exists  $B \in K$  such that  $Z \not\leq_{\text{HYP}} B$ .*

## Next time – Posner-Robinson for Turing Degrees of Hyperjumps

### Theorem (Posner-Robinson for Turing Degrees of Hyperjumps)

Suppose  $0 <_{\text{HYP}} Z \leq_T A$  and  $\mathcal{O} \leq_T A$ . Then there exists  $B$  such that

$$A \equiv_T \mathcal{O}^B \equiv_T B \oplus Z$$

Proof will involve Kumabe-Slaman Forcing and a stronger combined basis theorem for special  $\Sigma_1^1$  sets.

Thank you!

## Another Characterization of the Turing Jump

Suppose  $B \in 2^{\mathbb{N}}$ .

Consider the language of arithmetic with an additional unary predicate  $X$ , i.e.  $\{0, S, +, \cdot, X\}$  where  $\text{ar}(0) = 0$ ,  $\text{ar}(S) = 1$ ,  $\text{ar}(+) = \text{ar}(\cdot) = 2$ , and  $\text{ar}(X) = 1$ .

Define

$$\text{PA} + (X = B) := \text{PA} \cup \{X(\underline{n}) \mid n \in B\} \cup \{\neg X(\underline{n}) \mid n \notin B\}$$

### Theorem

$$B' \equiv_T \{\text{Gödel numbers of theorems of } \text{PA} + (X = B)\}$$

In particular, the halting problem is Turing equivalent to the problem of deciding whether a given sentence is a theorem of PA.

## Hyperjumps - Alternative Characterization

Let  $Z_2$  be the second-order theory of arithmetic.

A characterization of the hyperjump which is analogous to that of the Turing jump is in terms of theorems of  $Z_2 + (X = B)$ . Say that  $\varphi$  is an  $\omega$ -**theorem** of  $\Phi$  if it is true in every  $\omega$ -model of  $\Phi$ .

### Theorem

$$\mathcal{O}^B \equiv_T \{ \text{Gödel numbers of } \omega\text{-theorems of } Z_2 + (X = B) \}$$

Another characterization of  $\mathcal{O}^B$  makes precise the notion of “notations for ordinals recursive in  $B$ ”.