

2.1) Quick review of Tomita-Takesaki theory & Connes Classification.

If  $M$  is a von Neumann algebra a faithful normal semifinite weight  $\varphi$  on  $M$  is a map  $\varphi: M_+ \rightarrow [0, \infty]$  with the properties  $\varphi(xy) = \varphi(yx)$ ,  $\varphi(\lambda x) = \lambda \varphi(x)$ ,  $\lambda \geq 0$ ,  $\varphi(x) = 0 \Rightarrow x = 0$

$\varphi(\bigvee x_i) = \bigvee \varphi(x_i)$  for  $x_i \uparrow$  an increasing net, bounded above, and  $\mathcal{P}_\varphi = \{x \in M_+ \mid \varphi(x) < \infty\}$  generates  $M$ . We will be most concerned

with the special case of a state where  $\varphi$  extends to a linear functional on  $M$  (and semifiniteness is automatic) with  $\varphi(1) = 1$

Given a f.n.s.f weight  $\varphi$  one may form two Hilbert spaces

a) let  $\mathcal{N}_\varphi = \{x \mid \varphi(x^*x) < \infty\}$  and  $\mathcal{M}_\varphi = \{\sum y_i x_i \mid x_i, y_i \in \mathcal{N}_\varphi\}$ . Then  $\mathcal{N}_\varphi$  is a left ideal,  $\mathcal{M}_\varphi$  is a subalgebra of  $M$  and  $\varphi$  extends to a linear functional on  $\mathcal{M}_\varphi$ . We then define the inner product  $\langle x, y \rangle = \varphi(y^*x)$  on  $\mathcal{N}_\varphi$ . The inequality  $(ax)^*ax \leq \|a\|^2 x^*x$  shows that left multiplication on  $\mathcal{N}_\varphi$  extends to a bounded linear operator  $\pi_\varphi(a)$  on the completion  $L^2(M, \varphi)$ .  $\pi_\varphi$  is faithful and  $\pi_\varphi(M)$  is a von Neumann algebra on  $L^2(M, \varphi)$ .

b)  $\varphi^r$  exactly the same except  $\varphi(x^*x)$ . Get  $(\varphi^r, M^r)$ , a right  $M$ -module.

If we define the map  $S: \mathcal{N}_\varphi \cap \mathcal{N}_\varphi^* \rightarrow \mathcal{N}_\varphi \cap \mathcal{N}_\varphi^*$  by  $S(x) = x^*$ . Then  $S$  is a pre-closed conjugate linear involution on  $L^2(M, \varphi)$  (identifying  $\mathcal{N}_\varphi \cap \mathcal{N}_\varphi^*$  with a subspace of  $L^2(M, \varphi)$ ). If  $S = J \Delta^{\frac{1}{2}}$  is (J=1)

the polar decomposition of  $S$  then the PT theorem states that (i)  $J \pi_\varphi(M) J = \pi_\varphi(M)'$  (ii)  $\Delta^{\text{it}} \pi_\varphi(M) \Delta^{\text{it}} \subseteq \pi_\varphi(M)$ . Note that if  $x \in \mathcal{N}_\varphi \cap \mathcal{N}_\varphi^*$  satisfies  $\varphi(xy) = \varphi(yx) \forall y \in M$  then  $x \in \text{Dom}(S^*S)$  and  $\Delta x = x, S^*x = x^*$ .

Thus

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(i)  $L^2(M, \varphi)$  becomes a bimodule (or correspondence) via

$$x \xi y = x J y^* J \xi$$

(ii) There is a 1-parameter group of automorphisms  $\sigma_t^\varphi$  on  $M$  defined by  $\pi_\varphi(\sigma_t^\varphi(x)) = \Delta_\varphi^{it} \pi_\varphi(x) \Delta_\varphi^{-it}$ , called the modular group.

Connes showed that  $\sigma_t^\varphi$  is independent of  $\varphi$  up to inner perturbations, i.e. if  $\psi$  is another n.f.s.f. weight then  $\exists$  a map  $t \rightarrow U_t$  from  $\mathbb{R}$  to  $U(M)$  such that

$$\sigma_t^\psi(x) = U_t \sigma_t^\varphi(x) U_t^* \quad (\text{and } U_t \sigma_t^\varphi(U_t) = U_{\psi t})$$

He also classified type III factors according to  $\bigcap_{\varphi} \text{spectrum}(\Delta_\varphi)$  which automatically contains 0 in the type III case, so leave 0 out in following:

$$\begin{aligned} \bigcap_{\varphi} \text{spectrum} \Delta_\varphi &= \{1\} && \text{type } \underline{\text{III}}_0 \\ \bigcap_{\varphi} \text{spectrum} \Delta_\varphi &= \{\lambda^n \mid n \in \mathbb{Z}\} && \text{type } \underline{\text{III}}_\lambda \quad (0 < \lambda < 1) \\ \bigcap_{\varphi} \text{spectrum} \Delta_\varphi &= \mathbb{R}^+ && \underline{\text{III}}_1. \end{aligned}$$

It is known that if  $\sigma_t^\psi$  acts ergodically, i.e.  $\sigma_t^\psi(x) = x \forall t$   
 $\Rightarrow x \in \mathbb{C} \text{id}$ , then  $M$  is of type  $\underline{\text{III}}_1$

## 2.2 Spatial Theory

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If  $M$  acts on  $\mathcal{H}$ , a fundamental idea (due to Connes) is to

model  $\mathcal{H}$  on (the closure of) the space of  $M$ -linear maps

from  $L^2(M, \psi)$  to  $\mathcal{H}$ . To this end we say that a vector  $\xi \in \mathcal{H}$

is bounded, if the map  $x \mapsto x\xi$  extends to a bounded

linear map  $R_\xi$  from  $L^2(M, \psi)$  to  $\mathcal{H}$ . This is equivalent to

the existence of a  $C > 0$  with  $\|x\xi\|^2 < C\psi(x^*x)$ .  $R_\xi$

if it exists is clearly  $M$ -linear. Similarly if  $\mathcal{H}$  is a

right  $M$ -module (or a left module over  $M^{opp}$ ), we say a vector

$\eta \in \mathcal{H}$  is bounded if the map  $Jx^* \mapsto \eta x$  (defined

for all  $x$  with  $\psi(xx^*) < \infty$ ) extends to a bounded map  $L_\eta$

from  $L^2(M, \psi)$  to  $\mathcal{H}$ .  $L_\eta$  is then right  $M$ -linear since

the right action of  $M$  on  $L^2(M, \psi)$  is  $\xi x = Jx^*J\xi$

and for  $a \in M$

$$L_\eta(Jx^* \cdot a) = L_\eta(Ja^*Jx^*)$$

$$= L_\eta(Ja^*)$$

$$= \eta x a$$

$$= L_\eta(Jx^*)a$$

(note that  $L_{\psi(a)}(b) = L_\psi(b)$ )

It is true that every  $M$ -linear map from  $L^2(M, \psi)$  to  $\mathcal{H}$  is of

the form  $R_\xi$ . This is very easy to see if  $\psi$  is a state for then

$1 \in L^2(M, \psi)$  and given  $t: L^2(M, \psi) \rightarrow \mathcal{H}$ ,  $M$ -linear, let

$\xi = t(1)$ . Then for  $a \in M$ ,  $t(a) = t(a \cdot 1) = a t(1) = R_{t(1)}(a)$ .

Similarly for a right  $M$  module and a map  $t$ , let

$\eta = t(1)$ . Then  $t(Ja^*) = t(J1a^*) = t((Ja^*) \cdot 1) = t(Ja^*)t(1)$ .

Moreover the inner product in  $\mathcal{H}$  can be recovered from  $\textcircled{16}$   
 $R_{\xi}, L_{\eta}$  as follows:

$R_{\xi_1}^* R_{\xi_2}$  is  $M$ -linear from  $L^2(M, \psi)$  to itself, so

$$\begin{aligned} \langle \mathcal{J} R_{\xi_1}^* R_{\xi_2} \mathcal{J}, 1 \rangle &\in M \text{ so we may form } \psi(\mathcal{J} R_{\xi_1}^* R_{\xi_2} \mathcal{J}) \\ &= \langle \mathcal{J} R_{\xi_1}^* R_{\xi_2} \mathcal{J} \cdot 1, 1 \rangle = \langle R_{\xi_1}^* R_{\xi_2} \cdot 1, 1 \rangle = \langle \xi_2, \xi_1 \rangle \end{aligned}$$

Similarly  $L_{\eta_1}^* L_{\eta_2} \in M$  and  $\psi(L_{\eta_1}^* L_{\eta_2}) = \langle L_{\eta_1}^* L_{\eta_2} \cdot 1, 1 \rangle = \langle \eta_2, \eta_1 \rangle$ .

This leads to the following idea, due originally to Connes but reformulated following Takesaki vol II of the Connes spectral derivative

Suppose we are given  $M$  on  $\mathcal{H}$  with <sup>mfs</sup> weights  $\varphi$  on  $M$  and  $\psi$  on  $M'$ . Form  $L^2(M', \psi)$  as a left  $M'$  module and suppose  $\xi, \eta \in \mathcal{H}$  are bounded for the  $M'$ -actions. Then  $R_{\xi} R_{\eta}^*$  is  $M'$ -linear from  $\mathcal{H}$  to  $\mathcal{H}$ , hence an element of  $M$ . Provided it is in the domain of  $\varphi$  (automatic if  $\varphi$  is a state) we may evaluate  $\varphi$  on it to obtain the positive definite sesquilinear form

$$\langle \xi, \eta \rangle = \varphi(R_{\xi} R_{\eta}^*) \text{ on a dense subspace}$$

of  $\mathcal{H}$ . There is a corresponding positive self-adjoint (unbounded in general) operator  $\frac{d\varphi}{d\psi}$  whose square root is essentially self-adjoint on the domain specified above.

The operator was defined by Connes and is called  $\sigma_t^\psi$  (17)  
 the spatial derivative of  $\varphi$  w.r.t.  $\psi$ . It satisfies  
 nice properties, especially

$$\left(\frac{d\varphi}{dt}\right)^{it} \simeq \left(\frac{d\varphi}{dt}\right)^{-it} = \sigma_t^\varphi(x), \quad x \in M$$

$$\left(\frac{d\varphi}{dt}\right)^{-it} \psi \left(\frac{d\varphi}{dt}\right)^{it} = \sigma_t^\psi(y) \quad y \in M'$$

Let us do a simple exercise where domain questions vanish,  $M = \mathbb{C}$ ,  $\mathcal{H} = \mathbb{C}^n$ .  
 Then  $M' = M_n(\mathbb{C})$  and a state on  $M'$  is given by  $\psi(x) = \text{Trace}(hx)$   
 where  $h$  is positive definite,  $\text{Trace}(h) = 1$ . There is no choice  
 for the state  $\varphi$ ..... The main thing is to determine

$R_v^*$  for  $v \in \mathbb{C}^n$ . Let us use the notation  $|v\rangle\langle w|$  for the  
 operator  $|v\rangle\langle w|(u) = \langle u, w\rangle v$  for vectors  $u, w \in \mathbb{C}^n$ .

Proposition  $R_v^*(w) = |w\rangle\langle v|h^{-1}$

Proof  $\langle R_v^*(w), x \rangle = \langle w, R_v x \rangle = \langle w, xv \rangle = \langle x^* w, v \rangle$

But also  $\langle |w\rangle\langle v|h^{-1}, x \rangle = \langle x^* |w\rangle\langle v|h^{-1} \rangle$   
 $= \text{Trace}(h x^* |w\rangle\langle v|h^{-1})$   
 $= \text{Trace}(x^* |w\rangle\langle v|)$   
 $= \langle x^* w, v \rangle$  (complete  $v$  to a basis, normalize)

It is now easy that  $\frac{d\varphi}{dt} = h^{-1}$ :

$$R_u R_v^* w = R_u(|w\rangle\langle v|h^{-1}) = |w\rangle\langle v|h^{-1}(u) = \langle h^{-1}u, v \rangle w$$

so that  $R_u R_v^* = \langle h^{-1}u, v \rangle \text{id}$  and  $\varphi(R_u R_v^*) = \langle h^{-1}u, v \rangle$ . Note the  
 special case  $\varphi = \text{normalized trace}$ ,  $h = \frac{1}{n} \text{id}$  and  $\frac{d\varphi}{dt} = n = \dim \mathbb{C}^n$ .

2.3 The relative tensor product.

If  $\mathcal{H}_M$  is a right  $M$ -module and  ${}_M\mathcal{K}$  is a left one, and  $\psi$  is a n.f.s. weight on  $M$  we consider the <sup>vector</sup> spaces  $\mathcal{H}^0$  and  $\mathcal{K}^0$  of bounded vectors. On the (purely algebraic) tensor product we define the sesquilinear form

$$\begin{aligned} \langle \xi_1 \otimes \eta_1, \xi_2 \otimes \eta_2 \rangle &= \langle L_{\xi_2}^* L_{\xi_1} \eta_1, \eta_2 \rangle \\ \text{if it is a state this is just} & \quad \langle R_{\eta_2}^* \underbrace{L_{\xi_2}^* L_{\xi_1}}_{\in M} R_{\eta_1} (1), 1 \rangle \\ &= \langle L_{\xi_2}^* L_{\xi_1} R_{\eta_2}^* R_{\eta_1} (1), 1 \rangle \\ & \quad \leftarrow \leftarrow \xi_2 \quad \leftarrow \leftarrow \eta_2 \\ &= \langle R_{\eta_2}^* R_{\eta_1} \xi_1, \xi_2 \rangle \end{aligned}$$

Or if we had dispensed with bounded vectors and just considered intertwiners (bounded)

$$\langle x_1 \otimes y_1, x_2 \otimes y_2 \rangle = \langle x_2^* x_1, y_2^* y_1, 1, 1 \rangle.$$

Note though that the ~~inner product~~ sesquilinear form obviously extends to  $\mathcal{H}^0 \otimes \mathcal{K}$  and  $\mathcal{H} \otimes \mathcal{K}^0$ . To see that  $\langle, \rangle$  is positive semidefinite, we have to show

$$\left\langle \sum_{i=1}^n x_i \otimes y_i, \sum_{i=1}^n x_i \otimes y_i \right\rangle = \sum_{i,j=1}^n \langle x_j^* x_i, y_j^* y_i, 1, 1 \rangle \geq 0. \quad \text{But observe}$$

that  $x_j^* x_i$  is positive as an element of  $M \otimes M_n(\mathbb{C})$  and so is  $y_j^* y_i$  in  $M_n(M')$ . So we can write  $x_j^* x_i = \sum_p a_{pj}^* a_{pi}$ ,  $y_j^* y_i = \sum_q b_{qj}^* b_{qi}$  for  $a$ 's in  $M$  and  $b$ 's in  $M'$ . So what we have to calculate is

$$\sum_{i,j,p,q} \langle a_{pj}^* a_{pi} b_{qi}^* b_{qj} 1, 1 \rangle = \sum_{i,j,p,q} \langle a_{pi} b_{qi}, a_{pj} b_{qj} 1 \rangle = \sum_{p,q} \left\| \sum_i a_{pi} b_{qi} 1 \right\|^2 \geq 0.$$

One may thus form the Hilbert space completion

$$\mathbb{H} \otimes_M K \quad \text{of the quotient of } \mathbb{H} \otimes K^0 \text{ by}$$

the kernel of  $\langle, \rangle$ . This is called the relative tensor product, Connes tensor product, Connes-Sauvageot tensor product or Connes fusion of  $\mathbb{H}$  and  $K$ .

Some properties are <sup>more or less</sup> immediate.

a)  $\mathbb{H} \otimes_M K$  is a  $L_{-M}(\mathbb{H}) - L_M(K)$  bimodule.

(exercise)

b)  $\mathbb{H} \otimes_M L^2(M, \psi) \cong \mathbb{H}$  as a  $L_{-M} - M$  bimodule

(use the map  $\cdot L_{\xi} \otimes \int m^* \Rightarrow \xi m$ )

$$L^2(M, \psi) \otimes_M \mathbb{H} \cong \mathbb{H} \quad (\text{use } L_x \otimes \xi \mapsto x\xi)$$

c) If  $\mathbb{H}_M, M K_N$  and  $N L$  ~~are~~ with weights  $(\dots)$   
 $(\mathbb{H}_M \otimes_M K) \otimes_N L \cong \mathbb{H}_M \otimes_M (K \otimes_N L)$ . (check isometry property of algebraic tp.)

d) The notion  $\mathbb{H} \otimes_M K$  does not depend on the weight  $\psi$ .  
(this is the most difficult)

However, note that  $\otimes_M$  is not middle  $M$ -linear. In fact  $\xi a \otimes \eta = \xi \otimes \sigma_{\frac{\psi}{2}}(a) \eta$  for appropriate  $\xi, \eta$  and  $a$ . (we ~~don't~~ mean by  $\xi \otimes \eta$  its image in the completed quotient)

Example. The basic construction for subfactors,  $\text{II}_1$  case (20)

If  $N \subset M$  is a subfactor of the  $\text{II}_1$  factor  $M$  there is a canonical trace-preserving conditional expectation  $E_N: M \rightarrow N$  which extends to the projection  $e_N: L^2(M, \text{tr}) \rightarrow L^2(N, \text{tr})$ . The basic construction is the von Neumann algebra  $\langle M, e_N \rangle$  on  $L^2(M, \text{tr})$  generated by  $M$  and  $e_N$ . There is a facial normal faithful semifinite weight  $\text{Tr}: \langle M, e_N \rangle$  with the subalgebra  $M e_N M$  in its domain (note that  $e_N x e_N = E_N(x) e_N$  for  $x \in M$ ) satisfying

$$\text{Tr}(x e_N y) = \text{tr}(xy)$$

(to say that  $[M: N]$  is finite, equal to  $r$  is to say  $\text{Tr}(1) = r$ )

Now  $L^2(M)$  is an  $N$ - $N$  bimodule.

Claim  $L^2(\langle M, e_N \rangle, \text{Tr}) = L^2(M) \otimes_N L^2(M)$ .

Proof We define a map from the dense subspace

$M e_N M$  to  $L^2(M, \text{tr}) \otimes_N L^2(M, \text{tr})$  by  $x e_N y \mapsto x \otimes y$ .

(note that  $x$  and  $y$  are bounded vectors). For this it suffices to show that the inner products on the left and right are the

same, thus  $\langle x_1 e_N y_1, x_2 e_N y_2 \rangle = \text{Tr}(y_2^* e_N x_1^* x_2 e_N y_1) = \text{tr}(y_2^* E_N(x_1^* x_2) y_1) = \text{tr}(y_1^* y_2) E_N(x_1^* x_2)$

and  $\langle x_1 \otimes y_1, x_2 \otimes y_2 \rangle = \langle L x_1^* L y_2, R y_1^* R y_2, 1 \rangle = \langle E_N(x_1^* x_2), 1 \rangle$

so we need to calculate  $L x^* = L^2(M) \rightarrow L^2(N)$  claim  $L x^*(m) = E_N(x^* m)$   
 because  $\langle L x^*(m), n \rangle = \langle m, x n \rangle = \text{tr}(n^* x m) = \text{tr}(E_N(n^* x m))$   
 and  $\langle E_N(x m), n \rangle = \text{tr}(n^* E_N(x m)) = \text{tr}(E_N(n^* x m)) = \text{tr}(n^* x m)$  etc.