

Partition Congruences and the Localization Method

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What is a Partition?

Ramanujan's Conjectures

Let $n \geq 0$, $\alpha \geq 1$, and $24\lambda_{\ell,\alpha} \equiv 1 \pmod{\ell^\alpha}$.

Conjecture (Ramanujan, 1919)

$$\begin{aligned} p(5^\alpha n + \lambda_{5,\alpha}) &\equiv 0 \pmod{5^\alpha}, \\ p(7^\alpha n + \lambda_{7,\alpha}) &\equiv 0 \pmod{7^\alpha}, \\ p(11^\alpha n + \lambda_{11,\alpha}) &\equiv 0 \pmod{11^\alpha}. \end{aligned}$$

Ramanujan's Conjectures

Let $n \geq 0$, $\alpha \geq 1$, and $24\lambda_{\ell,\alpha} \equiv 1 \pmod{\ell^\alpha}$.

Theorem (Ramanujan, Watson, Atkin)

$$\begin{aligned} p(5^\alpha n + \lambda_{5,\alpha}) &\equiv 0 \pmod{5^\alpha}, \\ p(7^\alpha n + \lambda_{7,\alpha}) &\equiv 0 \pmod{7^{\lfloor \frac{\alpha}{2} \rfloor + 1}}, \\ p(11^\alpha n + \lambda_{11,\alpha}) &\equiv 0 \pmod{11^\alpha}. \end{aligned}$$

$$\alpha = 1$$

Theorem (Ramanujan)

$$\sum_{n=0}^{\infty} p(5n+4)q^n = 5 \frac{(q^5; q^5)_{\infty}^5}{(q; q)_{\infty}^6}.$$

Rewriting, we have

$$(q^5; q^5)_{\infty} \sum_{n=0}^{\infty} p(5n+4)q^{n+1} = 5q \frac{(q^5; q^5)_{\infty}^6}{(q; q)_{\infty}^6}.$$

$\alpha = 1, 2$

$$\text{For } \alpha = 1: (q^5; q^5)_\infty \sum_{n=0}^{\infty} p(5n+4)q^{n+1} = 5 \cdot q \frac{(q^5; q^5)_\infty^6}{(q; q)_\infty^6}.$$

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$$\begin{aligned} \text{For } \alpha = 2: (q; q)_\infty \sum_{n=0}^{\infty} p(5^2n+24)q^{n+1} \\ &= 5^{12} \cdot q^5 \frac{(q^5; q^5)_\infty^{30}}{(q; q)_\infty^{30}} + 5^{10} \cdot 6 \cdot q^4 \frac{(q^5; q^5)_\infty^{24}}{(q; q)_\infty^{24}} \\ &+ 5^7 \cdot 63 \cdot q^3 \frac{(q^5; q^5)_\infty^{18}}{(q; q)_\infty^{18}} + 5^5 \cdot 52 \cdot q^2 \frac{(q^5; q^5)_\infty^{12}}{(q; q)_\infty^{12}} \\ &+ 5^2 \cdot 63 \cdot q \frac{(q^5; q^5)_\infty^{30}}{(q; q)_\infty^{30}}. \end{aligned}$$

$\alpha = 1, 2$

Let $t = q \frac{(q^5; q^5)_\infty^6}{(q; q)_\infty^6}$, and $q = e^{2\pi i \tau}$, with $\tau \in \mathbb{H}$.

Theorem

$$(q^5; q^5)_\infty \sum_{n=0}^{\infty} p(5n+4)q^{n+1} = 5t,$$

$$(q; q)_\infty \sum_{n=0}^{\infty} p(5^2n+24)q^{n+1} = 5^{12} \cdot t^5 + 5^{10} \cdot 6 \cdot t^4 + 5^7 \cdot 63 \cdot t^3 \\ + 5^5 \cdot 52 \cdot t^2 + 5^2 \cdot 63 \cdot t.$$

Theorem

Let λ_α be the smallest positive solution to $24x \equiv 1 \pmod{5^\alpha}$.
Then

$$L_{2\alpha-1} = (q^5; q^5)_\infty \sum_{n=0}^{\infty} p(5^{2\alpha-1}n + \lambda_{2\alpha-1})q^{n+1} \in \mathbb{Z}[t],$$

$$L_{2\alpha} = (q; q)_\infty \sum_{n=0}^{\infty} p(5^{2\alpha}n + \lambda_{2\alpha})q^{n+1} \in \mathbb{Z}[t].$$

We write $L_{\alpha+1} = U^{(\alpha)}(L_\alpha)$, where $U^{(\alpha)}$ are linear operators.

5^α

We have

- $L_\alpha \in \mathbb{Z}[t]$,
- $L_{\alpha+1} = U^{(\alpha)}(L_\alpha)$,
- $U^{(\alpha)}(5^k \cdot f) = 5^k \cdot U^{(\alpha)}(f)$,
- Also, $L_1 = 5t$.

Theorem

For every $\alpha \in \mathbb{Z}_{\geq 1}$,

$$U^{(\alpha)}\left(\frac{L_\alpha}{5^\alpha}\right) \in 5 \cdot \mathbb{Z}[t].$$

So going from L_α to $L_{\alpha+1}$, we pick up an extra power of 5.

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$7^\alpha, 11^\alpha$

The same technique works for

$$p(7^\alpha n + \lambda_{7,\alpha}) \equiv 0 \pmod{7^{\lfloor \frac{\alpha}{2} \rfloor + 1}},$$

It does *not* work for

$$p(11^\alpha n + \lambda_{11,\alpha}) \equiv 0 \pmod{11^\alpha}.$$

Modular Group Action

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}) : N|c \right\}.$$

Let $\hat{\mathbb{H}} := \mathbb{H} \cup \mathbb{Q} \cup \{\infty\}$. We define a group action

$$\begin{aligned} \Gamma_0(N) \times \hat{\mathbb{H}} &\longrightarrow \hat{\mathbb{H}}, \\ \left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \tau \right) &\longmapsto \frac{a\tau + b}{c\tau + d}. \end{aligned}$$

Define the orbits $[\tau]_N := \{\gamma\tau : \gamma \in \Gamma_0(N)\}$.

Modular Curves

Definition

For any $N \in \mathbb{Z}_{\geq 1}$, we define the classical modular curve of level N as the set of all orbits of $\Gamma_0(N)$ applied to $\hat{\mathbb{H}}$:

$$X_0(N) := \{[\tau]_N : \tau \in \hat{\mathbb{H}}\}$$

Definition

For each $N \geq 1$ there exists some $d \geq 1$ and orbits $[r_k]_N$, $0 \leq k \leq d-1$, such that

$$\mathbb{Q} \cup \{\infty\} = \bigsqcup_{k=0}^{d-1} [r_k]_N.$$

The orbits $[r_k]_N$ are the cusps of $X_0(N)$.

Modular Functions

Let $q := e^{2\pi i\tau}$, $\tau \in \mathbb{H}$.

Definition

A holomorphic function $f : \mathbb{H} \rightarrow \mathbb{C}$ is modular over $\Gamma_0(N)$ if

- For any $\tau_1, \tau_2 \in \mathbb{H}$ such that $\tau_1 \in [\tau_2]_N$, $f(\tau_1) = f(\tau_2)$,
- For any $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})$, we have

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = \sum_{n \geq n_\gamma} \alpha_\gamma(n) q^{n \cdot \gcd(c^2, N)/N},$$

with $n_\gamma \in \mathbb{Z}$, $\alpha_\gamma(n_\gamma) \neq 0$.

Modular Curves

For each partition congruence family, we can associate a compact Riemann surface.

$$p(5^\alpha n + \lambda_{5,\alpha}) \equiv 0 \pmod{5^\alpha} \longrightarrow X_0(5),$$

$$p(7^\alpha n + \lambda_{7,\alpha}) \equiv 0 \pmod{7^{\lfloor \frac{\alpha}{2} \rfloor + 1}} \longrightarrow X_0(7),$$

$$p(11^\alpha n + \lambda_{11,\alpha}) \equiv 0 \pmod{11^\alpha} \longrightarrow X_0(11).$$

These are the classical modular curves of level 5, 7, 11 (resp.).

Genus

The genus of a Riemann surface X , denoted $g(X)$, is the number of holes in the surface.

- $g(X_0(1)) = 0$,
- $g(X_0(5)) = 0$,
- $g(X_0(7)) = 0$,
- $g(X_0(11)) = 1$,
- $g(X_0(20)) = 1$.

Why is this important?

Weierstrass Gap Theorem

Theorem

Let X be a compact Riemann surface, and let

$$f : X \rightarrow \mathbb{C}$$

be holomorphic over X , except for a pole at a point $p \in X$. Then the order of f at p can assume any negative integer, with exactly $g(X)$ exceptions.

Weierstrass Gap Theorem

Example: Let $q = e^{2\pi i\tau}$, $\tau \in \mathbb{H}$.

$$\frac{1}{t} = \frac{1}{q} \prod_{m=1}^{\infty} \left(\frac{1 - q^m}{1 - q^{5m}} \right)^6 = \frac{1}{q} + c(0) + c(1)q + \dots$$

is holomorphic, except for $q = 0$ ($\tau = i\infty$). And t induces

$$\begin{aligned} \hat{t} : X_0(5) &\longrightarrow \mathbb{C} \\ &: [\tau]_5 \longmapsto t(\tau). \end{aligned}$$

$$g(X_0(5)) = 0.$$

Weierstrass Gap Theorem

Example:

$$t = \frac{1}{q^2} \prod_{m=1}^{\infty} \left(\frac{1 - q^{4m}}{1 - q^{20m}} \right)^4 \left(\frac{1 - q^{10m}}{1 - q^{2m}} \right)^2,$$

$$\rho = \frac{1}{q^3} \prod_{m=1}^{\infty} \left(\frac{1 - q^{4m}}{1 - q^m} \right) \left(\frac{1 - q^{5m}}{1 - q^{20m}} \right)^5$$

are holomorphic over $X_0(20)$, except for $q = 0$, with orders $-2, -3$. There is no such function with order -1 .

$$g(X_0(20)) = 1.$$

Corollaries

Let $\mathcal{M}^c(\Gamma_0(N))$ be the space of modular functions over $\Gamma_0(N)$ with a pole at only one cusp $[c]_N$.

Corollary

If $g(X_0(N)) = 0$, then there exists a function t such that $\mathcal{M}^c(\Gamma_0(N)) = \mathbb{C}[t]$.

Corollary

If $g(X_0(N)) = 1$, then there exist functions t, ρ such that $\mathcal{M}^c(\Gamma_0(N)) = \mathbb{C}[t] \oplus \rho\mathbb{C}[t]$.

$c(n)$

Let $q = e^{2\pi i\tau}$, with $\tau \in \mathbb{H}$. Define $E_2(\tau)$ by

$$E_2(\tau) := 1 - 24 \sum_{n=1}^{\infty} \frac{nq^n}{1 - q^n}.$$

Definition

Define $c(n)$ with the generating function

$$\sum_{n=0}^{\infty} c(n)q^n := \frac{(2 \cdot E_2(2\tau) - E_2(\tau))}{(q^2; q^2)_{\infty}}.$$

Congruences on $c(n)$

Theorem (Wang, Yang)

Let $n \geq 0$, $\alpha \geq 1$, and $12\delta_\alpha \equiv 1 \pmod{5^\alpha}$. Then

$$c(5^\alpha n + \delta_\alpha) \equiv 0 \pmod{5^\alpha},$$

Congruences on $\text{spt}_\omega(n)$

Theorem (Wang, Yang)

Let $n \geq 0$, $\alpha \geq 1$, and $12\delta_\alpha \equiv 1 \pmod{5^\alpha}$. Then

$$\text{spt}_\omega(2 \cdot 5^\alpha n + \delta_\alpha) \equiv 0 \pmod{5^\alpha},$$

with $\omega(q)$ defined as Ramanujan's third order mock theta function.

Proof in Terms of L_α

Let

$$L_{2\alpha-1} := (q^{10}; q^{10})_\infty \sum_{n=0}^{\infty} c(5^{2\alpha-1}n + \delta_{2\alpha-1}) q^{n+1},$$

$$L_{2\alpha} := (q^2; q^2)_\infty \sum_{n=0}^{\infty} c(5^{2\alpha}n + \delta_{2\alpha}) q^{n+1}.$$

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For example,

$$L_1 = (q^{10}; q^{10})_\infty \sum_{n=0}^{\infty} c(5n + 3) q^{n+1}.$$

Proof in Terms of L_α

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The game is to show that $L_\alpha \equiv 0 \pmod{5^\alpha}$.

Technique by Wang and Yang

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with t, ρ eta quotients with integer power expansions, and F a modular form with constant term 1, over $\Gamma_0(10)$.

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$$\frac{L_\alpha}{5^\alpha \cdot F} \in \mathbb{Z}[t] \oplus \rho \mathbb{Z}[t].$$

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This is characteristic of the Paule–Radu method for proving congruences when the associated modular curve has genus 1. However, the genus of $X_0(10)$ is 0.

Our First Attempt

$$\text{Let } x := \prod_{m=1}^{\infty} \frac{(1 - q^{2m})^5 (1 - q^{5m})}{(1 - q^m)^5 (1 - q^{10m})}.$$

$$\text{Then } \mathcal{M}^0(\Gamma_0(10)) = \mathbb{C}[x].$$

Theorem

$$x^3 \cdot \frac{L_1}{F} \in \mathbb{C}[x].$$

Corollary

$$\text{For all } \alpha \geq 1, \frac{L_\alpha}{F} \in \mathbb{C}[x, x^{-1}].$$

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In terms of x :

$$L_1 = F \cdot \left(-\frac{624}{625x^3} - \frac{2487}{625x^2} + \frac{801}{625x} - \frac{422}{125} - \frac{3148x}{125} + \frac{19904x^2}{625} + \frac{512x^3}{625} - \frac{256x^4}{625} \right).$$

Second Attempt

$$x = \prod_{m=1}^{\infty} \frac{(1 - q^{2m})^5 (1 - q^{5m})}{(1 - q^m)^5 (1 - q^{10m})}$$

Lemma

$$x \equiv 1 \pmod{5}.$$

Let $x = 1 + 5y$. Interestingly,

$$y = q \prod_{m=1}^{\infty} \frac{(1 - q^{2m})(1 - q^{10m})^3}{(1 - q^m)^3 (1 - q^{5m})}.$$

Problem

We have a problem:

$$\frac{L_1}{F} \notin \mathbb{C}[y, y^{-1}].$$

But we *do* have

$$\frac{L_1}{F} \in \mathbb{C}[y, x^{-1}] \dots$$

Comparison of Expressions for L_1

$$L_1 = F \cdot (245 \cdot t + 3750 \cdot t^2 + 15625 \cdot t^3 - \rho \cdot (125 \cdot t + 3125 \cdot t^2)),$$

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In our form:

$$L_1 = \frac{F}{(1+5y)^3} \cdot (120y + 1805y^2 + 12050y^3 + 39500y^4 + 50000y^5),$$

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Important! $F, y, \frac{1}{1+5y}$ have integer power series expansions.

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Important! $F, y, \frac{1}{1+5y}$ have integer power series expansions.
Similar identities hold for L_2, L_3 , etc.

Weak Result

We let $\alpha \geq 1$,

$$\mathcal{S} := \{(1 + 5y)^n : n \in \mathbb{Z}_{\geq 0}\},$$
$$\mathbb{Z}[y]_{\mathcal{S}} := \text{the localization of } \mathbb{Z}[y] \text{ at } \mathcal{S}.$$

Then we have the following:

Theorem (Me!)

$$\frac{1}{5^\alpha F} \cdot L_\alpha \in \mathbb{Z}[y]_{\mathcal{S}}.$$

Strong Result

We let $\alpha \geq 1$,

$$\psi(\alpha) := \left\lfloor \frac{5^{\alpha+1}}{12} \right\rfloor + 1.$$

Then we have the following:

Theorem (Me!)

$$\frac{(1 + 5y)^{\psi(\alpha)}}{5^\alpha F} \cdot L_\alpha \in \mathbb{Z}[y].$$

L_α

$$L_1 = \frac{F}{(1+5y)^3} \cdot (120y + 1805y^2 + 12050y^3 + 39500y^4 + 50000y^5).$$

We will prove that

$$\frac{1}{5^\alpha F} \cdot L_\alpha = \sum_{m \geq 1} s(m) \cdot 5^{\mu(m)} \cdot \frac{y^m}{(1+5y)^n},$$

with $n \in \mathbb{Z}_{\geq 1}$ fixed, s, μ integer-valued functions, and s discrete.

U Operator

$$U_5(L_{2\alpha-1}) = L_{2\alpha},$$

$$U_5(Z \cdot L_{2\alpha}) = L_{2\alpha+1},$$

for a certain eta quotient Z . We define

$$U^{(i)}(f) := \frac{1}{F} \cdot U_5(F \cdot Z^{1-i} \cdot f).$$

Then

$$\frac{L_{\alpha+1}}{F} = U^{(i)}\left(\frac{L_{\alpha}}{F}\right),$$

for $i \equiv \alpha \pmod{2}$.

U Operator

$$\frac{1}{5^\alpha F} \cdot L_\alpha = \sum_{m \geq 1} s(m) \cdot 5^{\mu(m)} \cdot \frac{y^m}{(1+5y)^n},$$

We study

$$U^{(i)} \left(\frac{y^m}{(1+5y)^n} \right).$$

General Relation

Theorem

There exist discrete arrays $h_1, h_0 : \mathbb{Z}^3 \rightarrow \mathbb{Z}$ and functions $\pi_i : \mathbb{Z}_{\geq 1}^2 \rightarrow \mathbb{Z}_{\geq 0}$ such that

$$\begin{aligned}
 U^{(1)} \left(\frac{y^m}{(1+5y)^n} \right) &= \frac{1}{(1+5y)^{5n-4}} \sum_{r \geq \lceil m/5 \rceil} h_1(m, n, r) \cdot 5^{\pi_1(m, r)} \cdot y^r, \\
 U^{(0)} \left(\frac{y^m}{(1+5y)^n} \right) &= \frac{1}{(1+5y)^{5n-2}} \sum_{r \geq \lceil (m+2)/5 \rceil} h_0(m, n, r) \cdot 5^{\pi_0(m, r)} \cdot y^r.
 \end{aligned}$$

General Relation

$$\pi_1(m, r) := \begin{cases} 0, & 1 \leq m \leq 2 \text{ and } r = 1 \\ 3, & 1 \leq m \leq 2 \text{ and } r = 3 \\ \lfloor \frac{5r+1}{6} \rfloor, & 1 \leq m \leq 2 \text{ and } r \neq 1, 3 \\ 2, & m = 3 \text{ and } r = 2 \\ \lfloor \frac{5r-2}{6} \rfloor, & m = 3 \text{ and } r \neq 2 \\ \lfloor \frac{5r-m+1}{6} \rfloor, & m \geq 4, \end{cases}$$

$$\pi_0(m, r) := \begin{cases} \lfloor \frac{5r+1}{6} \rfloor, & m = 1 \\ \lfloor \frac{5r+1}{6} \rfloor, & m = 2 \text{ and } r \neq 3, 4, 5 \\ \lfloor \frac{5r-5}{6} \rfloor, & m = 2 \text{ and } 3 \leq r \leq 5 \\ \lfloor \frac{5r-m-2}{6} \rfloor, & m \geq 3. \end{cases}$$

Proof Strategy

$$\mathcal{Z}_n := \left\{ \frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot y^m : s \text{ is discreet} \right\},$$

$$\mathcal{V}_n := \left\{ \frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\phi(m)} \cdot y^m : s \text{ is discreet} \right\}.$$

$$\theta(m) := \begin{cases} \lfloor \frac{5m-5}{6} \rfloor, & 1 \leq m \leq 2 \\ \lfloor \frac{5m-5}{6} \rfloor - 1, & m \geq 3, \end{cases}$$

$$\phi(m) := \begin{cases} \lfloor \frac{5m-5}{6} \rfloor, & 1 \leq m \leq 3 \\ \lfloor \frac{5m-5}{6} \rfloor - 1, & m \geq 4. \end{cases}$$

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Show that $\frac{1}{5F} L_1 \in \mathcal{Z}_3$,

Show that for any $f \in \mathcal{Z}_n$, $\frac{1}{5} U^{(1)}(f) \in \mathcal{V}_{5n-4}$,

Show that for any $f \in \mathcal{V}_n$, $\frac{1}{5} U^{(0)}(f) \in \mathcal{Z}_{5n-2}$.

Even-to-Odd Index

Let $f \in \mathcal{V}_n$. Then

$$\begin{aligned}
 U^{(0)}(f) &= U^{(0)} \left(\frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\phi(m)} \cdot y^m \right) \\
 &= \sum_{m \geq 1} s(m) \cdot 5^{\phi(m)} \cdot U^{(0)} \left(\frac{y^m}{(1+5y)^n} \right) \\
 &= \frac{1}{(1+5y)^{5n-2}} \sum_{m \geq 1} \sum_{r \geq \lceil (m+2)/5 \rceil} s(m) \cdot h_0(m, n, r) \cdot 5^{\phi(m) + \pi_0(m, r)} \cdot y^r \\
 &= \frac{1}{(1+5y)^{5n-2}} \sum_{r \geq 1} \sum_{m \geq 1} s(m) \cdot h_0(m, n, r) \cdot 5^{\phi(m) + \pi_0(m, r)} \cdot y^r
 \end{aligned}$$

We want to show that

$$\begin{aligned}
 \phi(m) + \pi_0(m, r) &\geq \theta(r) + 1 \text{ for all } r \geq 1, \\
 \text{so that } \frac{1}{5} U^{(0)}(f) &\in \mathcal{Z}_{5n-2}.
 \end{aligned}$$

Odd-to-Even Index

Let $f \in \mathcal{Z}_n$. Then

$$\begin{aligned}
 U^{(1)}(f) &= U^{(1)} \left(\frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot y^m \right) \\
 &= \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot U^{(1)} \left(\frac{y^m}{(1+5y)^n} \right) \\
 &= \frac{1}{(1+5y)^{5n-4}} \sum_{m \geq 1} \sum_{r \geq \lceil m/5 \rceil} s(m) \cdot h_1(m, n, r) \cdot 5^{\theta(m) + \pi_1(m, r)} \cdot y^r \\
 &= \frac{1}{(1+5y)^{5n-4}} \sum_{r \geq 1} \sum_{m \geq 1} s(m) \cdot h_1(m, n, r) \cdot 5^{\theta(m) + \pi_1(m, r)} \cdot y^r
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5-adic Irregularity

We are going to prove that

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$$\phi(m) + \pi_0(m, r) \geq \theta(r) + 1 \text{ for all } r \geq 1 \text{ is true.}$$

$$\theta(m) + \pi_1(m, r) \geq \phi(r) + 1, \text{ on the other hand...}$$

5-adic Irregularity

Let $f \in \mathcal{Z}_n$. Then

$$\begin{aligned} U^{(1)}(f) &= U^{(1)}\left(\frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot y^m\right) \\ &= \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot U^{(1)}\left(\frac{y^m}{(1+5y)^n}\right) \\ &= \frac{1}{(1+5y)^{5n-4}} \sum_{m \geq 1} \sum_{r \geq \lceil m/5 \rceil} s(m) \cdot h_1(m, n, r) \cdot 5^{\theta(m) + \pi_1(m, r)} \cdot y^r \\ &= \frac{1}{(1+5y)^{5n-4}} \sum_{r \geq 1} \sum_{m \geq 1} s(m) \cdot h_1(m, n, r) \cdot 5^{\theta(m) + \pi_1(m, r)} \cdot y^r \end{aligned}$$

The coefficient of $\frac{y^1}{(1+5y)^{5n-4}}$ is

$$\sum_{m=1}^5 s(m) \cdot h_1(m, n, 1) \cdot 5^{\theta(m) + \pi_1(m, 1)}.$$

5-adic Irregularity

The coefficient of $\frac{y^1}{(1+5y)^{5n-4}}$ has the form

$$\begin{aligned}
 &= \sum_{m=1}^5 s(m) \cdot h_1(m, n, 1) \cdot 5^{\theta(m)+\pi_1(m,1)} \\
 &= \sum_{m=1}^3 s(m) \cdot h_1(m, n, 1) + s(4) \cdot h_1(4, n, 1) \cdot 5 + s(5) \cdot h_1(5, n, 1) \cdot 5^2 \\
 &\equiv \sum_{m=1}^3 s(m) \cdot h_1(m, n, 1) \pmod{5}.
 \end{aligned}$$

5-adic Irregularity

Lemma

For all m, n such that $n \in \mathbb{Z}_{\geq 1}$ and $1 \leq m \leq 3$ we have:

$$h_0(1, n, 1) \equiv 1 \pmod{5},$$

$$h_0(2, 5n - 4, 1) \equiv 0 \pmod{5},$$

$$h_0(3, n, 1) \equiv 1 \pmod{5},$$

$$h_0(1, n, 2) \equiv 4 \pmod{5},$$

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$$h_0(3, n, 2) \equiv 4 \pmod{5},$$

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5-adic Irregularity

Our coefficient of $\frac{y^1}{(1+5y)^{5n-4}}$ for $U^{(1)}(f)$ is

$$\begin{aligned} &\equiv \sum_{m=1}^3 s(m) \cdot h_1(m, n, 1) \pmod{5} \\ &\equiv \sum_{m=1}^3 s(m) \pmod{5}. \end{aligned}$$

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Examine L_1 :

$$L_1 = \frac{5 \cdot F}{(1+5y)^3} \cdot (24y + 361y^2 + 2410y^3 + 7900y^4 + 10000y^5).$$

5-adic Irregularity

Definition

$$\mathcal{W}_n := \left\{ \frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\theta(m)} \cdot y^m : \sum_{m=1}^3 s(m) \equiv 0 \pmod{5} \right\},$$

$$\mathcal{V}_n := \left\{ \frac{1}{(1+5y)^n} \sum_{m \geq 1} s(m) \cdot 5^{\phi(m)} \cdot y^m \right\}.$$

Here s again represents a discrete integer-valued function.

Resolving 5-adic Irregularity

Theorem

Suppose $f \in \mathcal{W}_n$. Then

$$\begin{aligned}\frac{1}{5} \cdot U^{(1)}(f) &\in \mathcal{V}_{5n-4}, \\ \frac{1}{5^2} \cdot U^{(0)} \circ U^{(1)}(f) &\in \mathcal{W}_{25n-22}.\end{aligned}$$

Sketch

Let $f \in \mathcal{W}_n$. Then

$$\frac{1}{5^2} \cdot \left(U^{(0)} \circ U^{(1)}(f) \right) = \frac{1}{(1+5y)^{25n-22}} \sum_{w \geq 1} t(w) \cdot 5^{\theta(w)} y^w,$$

$$t(w) = \sum_{r=1}^{5w-2} \sum_{m=1}^{5r} s(m) \cdot h_1(m, n, r) \cdot h_0(r, 5n-4, w) \\ \times 5^{\theta(m) + \pi_1(m, r) + \pi_0(r, w) - \theta(w) - 2}.$$

Sketch

$$t(1) = \sum_{r=1}^3 \sum_{m=1}^{5r} s(m) \cdot h_1(m, n, r) \cdot h_0(r, 5n - 4, 1) \cdot 5^{\lambda(m, r, 1)},$$

$$t(2) = \sum_{r=1}^8 \sum_{m=1}^{5r} s(m) \cdot h_1(m, n, r) \cdot h_0(r, 5n - 4, 2) \cdot 5^{\lambda(m, r, 2)},$$

$$t(3) = \sum_{r=1}^{13} \sum_{m=1}^{5r} s(m) \cdot h_1(m, n, r) \cdot h_0(r, 5n - 4, 3) \cdot 5^{\lambda(m, r, 3)},$$

$$\lambda(m, r, w) := \theta(m) + \pi_1(m, r) + \pi_0(r, w) - 2.$$

We want to show that $t(1), t(2), t(3) \in \mathbb{Z}$, and that
 $t(1) + t(2) + t(3) \equiv 0 \pmod{5}$.

Sketch

$$\begin{aligned}
 t(1) + t(2) + t(3) &\equiv \frac{1}{5} \cdot \left(\sum_{j=1}^2 h_0(1, 5n - 4, j) \right) \cdot \left(\sum_{m=1}^3 s(m) \cdot h_1(m, n, 1) \right) \\
 &+ h_0(1, 5n - 4, 3) \cdot \left(\sum_{m=1}^3 s(m) \cdot h_1(m, n, 1) \right) \\
 &+ \left(\sum_{j=1}^2 h_0(1, 5n - 4, j) \right) \cdot s(4) \cdot h_1(4, n, 1) \\
 &+ \left(\sum_{j=1}^3 h_0(2, 5n - 4, j) \right) \cdot \sum_{m=1}^2 s(m) \cdot h_1(m, n, 2) \\
 &+ \left(\sum_{j=1}^2 h_0(3, 5n - 4, j) \right) \cdot s(3) \cdot h_1(3, n, 3) \pmod{5}.
 \end{aligned}$$

Sketch

It's That Lemma Again

For all m, n such that $n \in \mathbb{Z}_{\geq 1}$ and $1 \leq m \leq 3$ we have:

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Proof of our Strong Result

Proof (I)

$$\frac{1}{5F} \cdot L_1 \in \mathcal{W}_3.$$

Suppose that for some $\alpha \in \mathbb{Z}_{\geq 1}$, there exists some $n \in \mathbb{Z}_{\geq 1}$ such that

$$\frac{1}{5^{2\alpha-1}F} \cdot L_{2\alpha-1} \in \mathcal{W}_n. \text{ Then}$$

$$L_{2\alpha-1} = 5^{2\alpha-1}F \cdot f_{2\alpha-1}, \text{ for } f_{2\alpha-1} \in \mathcal{W}_n. \text{ Now,}$$

$$L_{2\alpha} = U_5(L_{2\alpha-1}) = U_5(5^{2\alpha-1}F \cdot f_{2\alpha-1}) = 5^{2\alpha-1}F \cdot U^{(1)}(f_{2\alpha-1}).$$

There exists some $f_{2\alpha} \in \mathcal{V}_{5n-4}$ such that $U^{(1)}(f_{2\alpha-1}) = 5 \cdot f_{2\alpha}$. Therefore,

$$L_{2\alpha} = 5^{2\alpha}F \cdot f_{2\alpha}, \text{ and } \frac{1}{5^{2\alpha}F} \cdot L_{2\alpha} \in \mathcal{V}_{5n-4}.$$

Proof of our Strong Result

Proof (II)

$$L_{2\alpha+1} = U_5(Z \cdot L_{2\alpha}) = U_5(5^{2\alpha}F \cdot Z \cdot f_{2\alpha}) = 5^{2\alpha}F \cdot U^{(0)}(f_{2\alpha}).$$

There exists some $f_{2\alpha+1} \in \mathcal{W}_{25n-22}$ such that $U^{(0)}(f_{2\alpha}) = 5 \cdot f_{2\alpha+1}$. Therefore,

$$L_{2\alpha+1} = 5^{2\alpha+1}F \cdot f_{2\alpha+1}, \text{ and } \frac{1}{5^{2\alpha+1}F} \cdot L_{2\alpha+1} \in \mathcal{W}_{25n-22}.$$

Proof of our Strong Result

Proof (III)

$$\psi(\alpha) = \left\lfloor \frac{5^{\alpha+1}}{12} \right\rfloor + 1.$$

Establishing that $\psi(\alpha)$ give the appropriate indices for $\mathcal{V}_n, \mathcal{W}_n$ is an elementary exercise in number theory. Prove that

$$\psi(1) = 3,$$

$$5\psi(2\alpha - 1) - 4 = \psi(2\alpha),$$

$$5\psi(2\alpha) - 2 = \psi(2\alpha + 1).$$



Computational Considerations

We have a degree 5 modular equation for y (and for $1 + 5y$).
 So for any pattern, we would expect 25 initial relations for each value of i to prove by induction—50 relations, total.
 Let $x = 1 + 5y$. Then

$$\begin{aligned}
 U^{(i)} \left(\frac{y^m}{(1 + 5y)^n} \right) &= \frac{1}{5^m} \cdot U^{(i)} \left(\frac{(x - 1)^m}{x^n} \right) \\
 &= \frac{1}{5^m} \sum_{r=0}^m (-1)^{m-r} \binom{m}{r} \cdot U^{(i)} (x^{r-n}) \\
 &= \frac{1}{5^m} \sum_{r=0}^m (-1)^{m-r} \binom{m}{r} \cdot U^{(i)} ((1 + 5y)^{r-n}).
 \end{aligned}$$

Computational Considerations

If $n \geq 0$, then

$$U^{(i)}((1+5y)^n) = \sum_{k=0}^n \binom{n}{k} \cdot 5^k \cdot U^{(i)}(y^k).$$

So all we really need are ten relations—five for each i —for $U^{(i)}(y^k)$. Then we can confirm the initial relations for any pattern on $U^{(i)}\left(\frac{y^m}{(1+5y)^n}\right)$.

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- Wang and Yang's proof utilized techniques for handling congruences with an associated Riemann surface of genus 1.
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- However, these are algebraically dependent: we only need to directly prove 10 initial cases...
- (in contrast to the 20 that Wang and Yang needed)
- Our proof reveals some interesting algebraic structure in the form of the localized polynomial ring.
- Finally, there are some extremely difficult steps in showing that going from L_α to $L_{\alpha+1}$ *always* picks up an extra power of 5.

Localization Method

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- Let y be a chosen so that $\mathcal{M}^{a/c}(\Gamma_0(N)) = \mathbb{C}[y]$.

There exists some $p \in \mathbb{C}[X]$ and some nonnegative integer sequence $\{\psi(\alpha)\}_{\alpha \geq 1}$ such that $p(y) \in \mathcal{E}^{a/c}(\Gamma_0(N))$ and

$$p(y)^{\psi(\alpha)} \cdot L_\alpha \in \mathbb{C}[y].$$

Localization Method

$$\text{If } L_\alpha = \sum_{m \geq 1} s(m) \cdot \ell^{\nu_\alpha(m)} \cdot \frac{y^m}{p(y)^{\psi(\alpha)}} \in \mathbb{Z}[y]_{\mathcal{S}},$$

with $\mathcal{S} := \{p(y)^n : n \in \mathbb{Z}_{\geq 0}\}$, and

$$U^{(\alpha)}(L_\alpha) = L_{\alpha+1}$$

for some linear operator sequence $(U^{(\alpha)})_{\alpha \geq 1}$, then we want to understand

$$U^{(\alpha)} \left(\frac{y^m}{p(y)^{\psi(\alpha)}} \right).$$

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- I'm working on a research proposal to study this method further (Hint, *Hint*).

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