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# Quasi-surfaces:

chromatic numb.

and

Euler formula

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at Vanderbilt U.

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# The 29<sup>th</sup> Cumberland conference

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★★★★★

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A standard Quasi-surface  $T_k$   
 $k \geq 2$

generalizes both

the 2-sphere  $S_0$  and

the  $k$ -book  $B_k$   $k \geq 0$

$\langle$  the equator  $\leftrightarrow$  the spine  $\rangle$

Let  $c$  be a circle in  $\mathbb{R}^3$ ,  
called an event horizon.

For  $i = 1, 2, \dots, k$ ,

let  $M_i$  be a closed 2-disk  
with  $\partial M_i = c$ ,

called the  $i$ -th membrane.

<the  $i$ -th page>

For  $k \geq 2$ , a standard Quasi-surface,

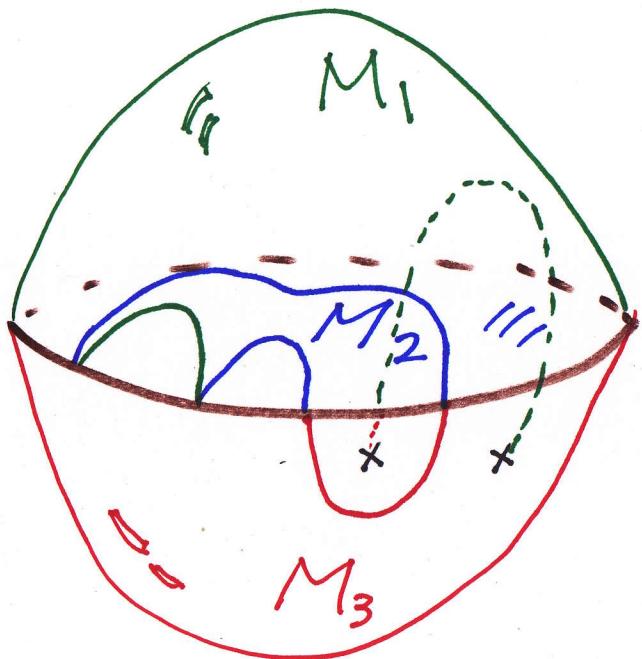
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denoted  $T_k$ , is the disjoint union of  $M_i$  with identifying  $\partial M_i$

$$\bigcup_{i=1}^k M_i \text{ with } \partial M_i = c$$

$c$ : event hor.

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 $T_k$  $k=3$ 

Jordan Curve Th:

Every simple closed curve bounds exactly two regions in  $\mathbb{R}^2$ .

Fails in  $T_k$ if  $k \geq 3$ .

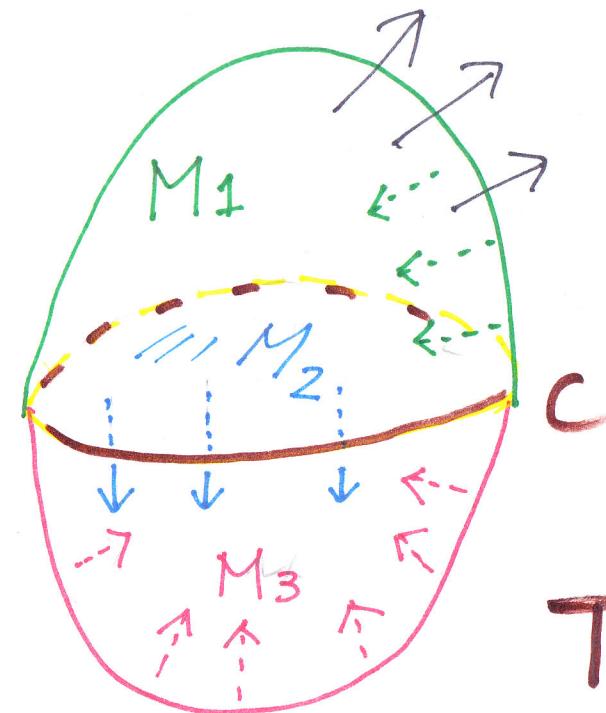
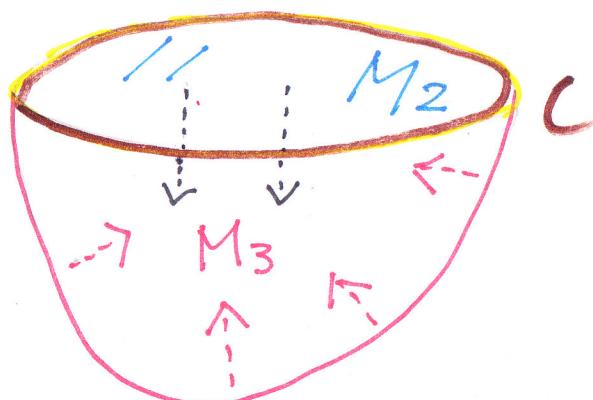
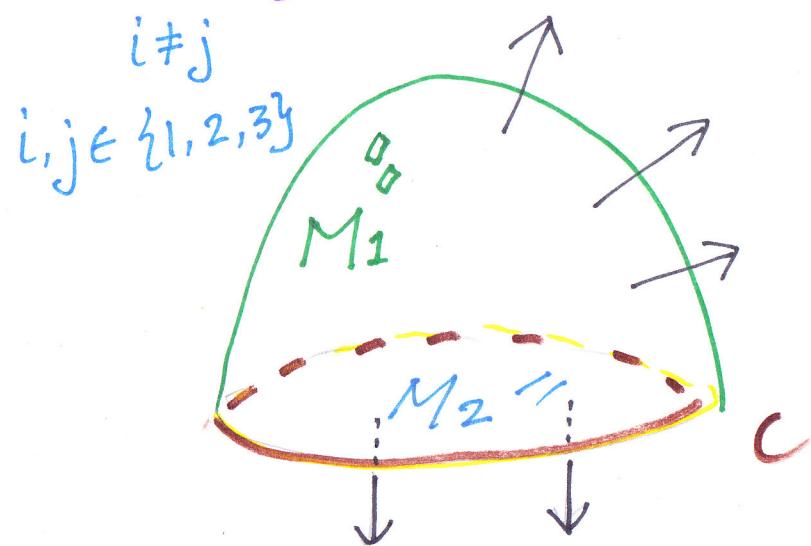
# Overview

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	$\chi$ chromatic numb.	$\epsilon$ Euler formula	Orientable ?
$S_0$	4CT	$\epsilon(S_0) = 2$	Orientable
$B_k$	book thickness if $bt(G) \leq k$ $\chi(G) \leq 2k+2$ [1979]	undefined	Orientable
$T_k$	Vertex density on $C$ <u>Matters</u>	well-defined for $T_k$ ?	Non-Orientable if $k \geq 3$ .

Proof) Why  $T_k$  is non-orientable ?  
if  $k \geq 3$

$M_i \cup M_j \cong S_0$  : orientable



non orientable

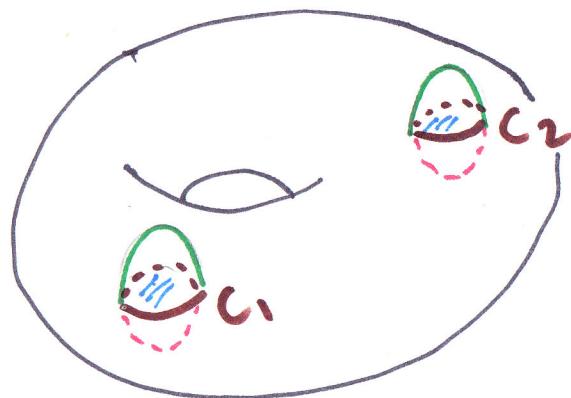
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## More space exploration

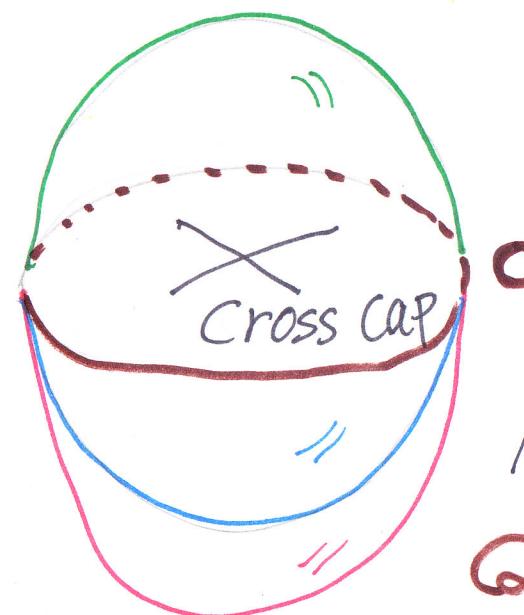
generalize quasi-surfaces

The event horizon(s)  $c_i$  can be located anywhere.

ex.



Two standard  
Quasi-surfaces  
with  $S_1$  (torus)



A standard  
Quasi-surface  
with  $N_1$  (proj. plane)

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Now do graph theory

we want to embed a graph  $G$   
into  $T_k$ .

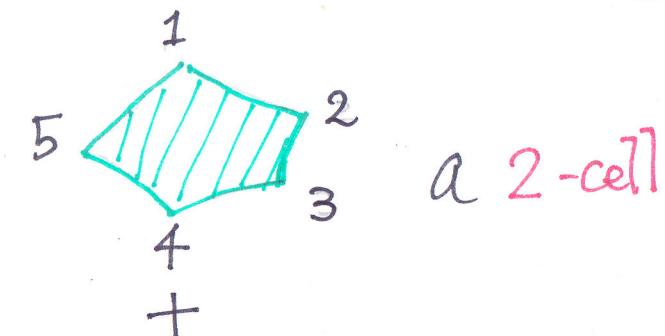
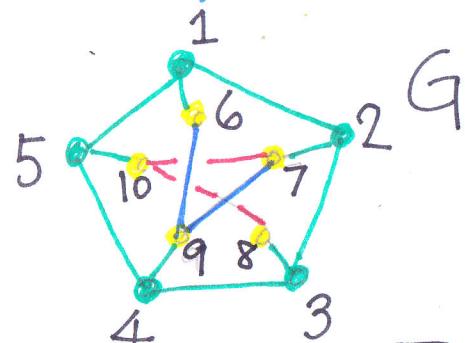
We consider

2-cell emb  $G \sqsubset T_k$ .

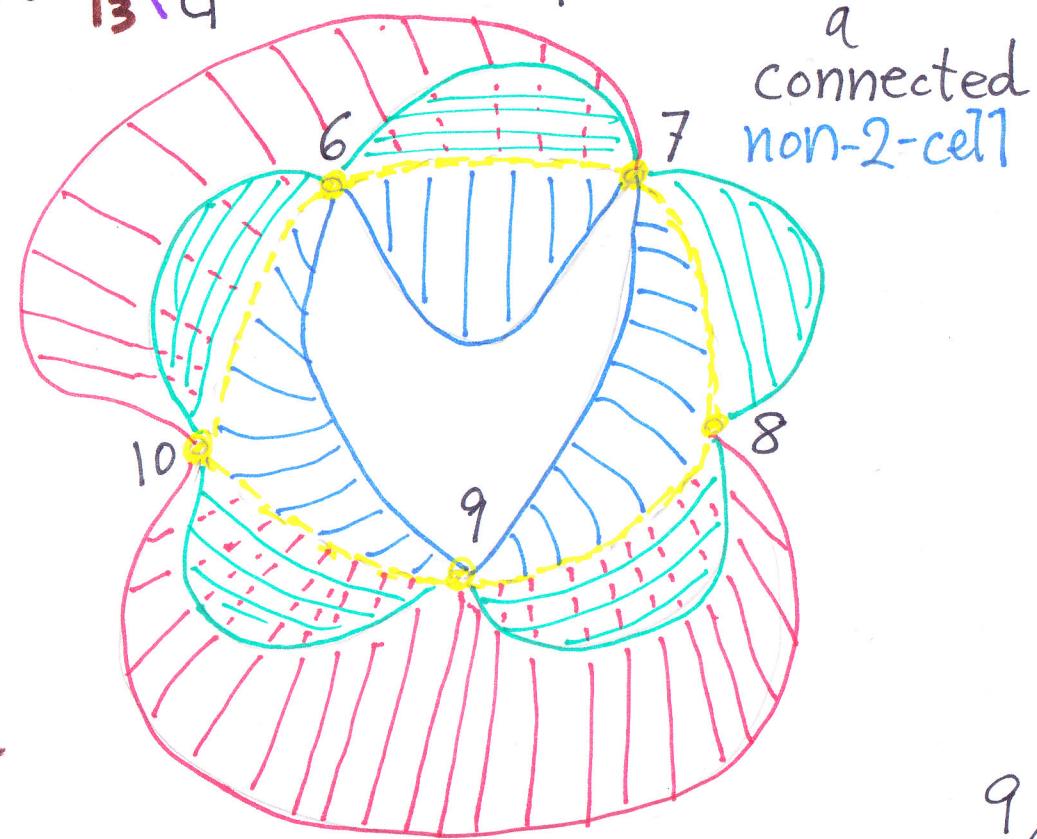
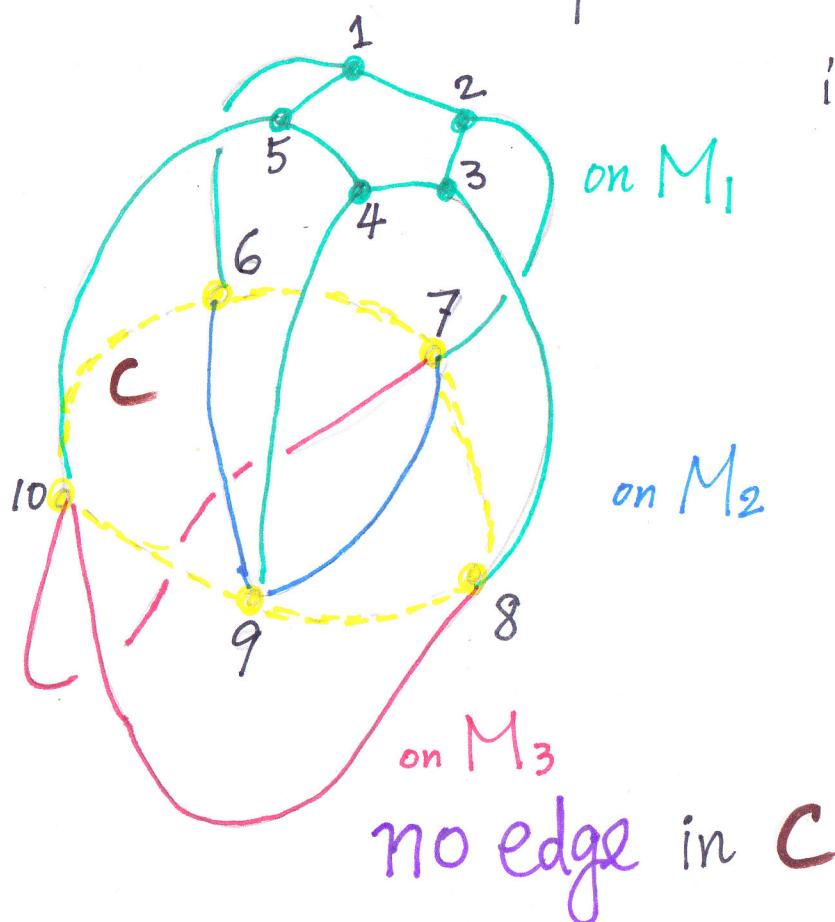
ex. a non-2-cell emb

$G \subset T_3$

Why 2-cell?  
① traditional    ② another reason  
to use 2-cell emb.



Two faces  
in  $T_3 \setminus G$



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Consequences of

$\exists$  a 2-cell emb  $\varphi: G \hookrightarrow T_k$

$\Rightarrow$  1.  $G$  is connected

2.  $\varphi^{-1}(c)$  is a cycle in  $G$

where  $c$  is the ev. hor. of  $T_k$  ( $k \geq 3$ )

★ no vacancy in  $c$

$\exists ? \varepsilon(T_k)$ 

yes!

Th.  $\forall G \in T_k \quad \varepsilon(G) = k.$

Pf)  $T_k = \bigcup_{i=1}^k M_i$  with  $\partial M_i = c$

$G_1 \quad M_1$

$G_2 \quad M_2$

$G_3 \quad M_3$

$G_i := G \cap M_i$ ; then  $\varepsilon(G_i) = \varepsilon(S_0) - \underbrace{1}_{\text{a face}} = 1$

$$\begin{aligned} \varepsilon(G) &= \sum_{i=1}^k \varepsilon(G_i) + \underbrace{(k-1)n_c - (k-1)m_c}_0 \\ &= k \end{aligned}$$

$$n_c := |V(G) \cap c|$$

$$m_c := |E(G) \cap c|$$

$n_c = m_c$  b/c  $G \cap c$  is a cycle

□

Next, consider  $\chi(G)$  if  $G \in T_k$ .

To prove a chromatic number theorem,  
finding an upper bound of  $\min \deg \delta(G)$  is  
a key.

Usually, Euler formula  $\xrightarrow{*}$  edge max. formula  
 $\rightarrow \delta(G) \rightarrow \chi(G)$

$*$  uses Jordan Curve Th.

Not in  $T_k$ ,  $k \geq 3$

Euler formula  $\nrightarrow \chi(G)$

$M_i \cong 2\text{-disk}$

deduces edge max. formula in  $T_k$   
(no Euler formula used)

Lemma 1  $G \sqsubset T_k$

$$\alpha_1 \leq 3(\alpha_0 - k) + (k-2)n_c$$

where  $n_c = |V(G) \cap C|$

$$\alpha_1 = |E(G)|$$

$$\alpha_0 = |V(G)|$$

$V(G) \subseteq C$   $\iff$  G has a k-page book emb.  
 in  $T_k$  or  $bt(G) \leq k$

$$\alpha_1 \leq 3(\alpha_0 - k) + (k-2)n_c \quad \text{with} \quad n_c = \underline{\alpha_0}$$

$$\alpha_1 \leq (k-2+3)\alpha_0 - 3k$$

$$\delta \leq 2(k+1) - \frac{6k}{\alpha_0}$$

$$\delta \leq 2k+1$$

$$bt(G) \leq k$$

$$\Rightarrow \chi(G) \leq \underbrace{2k+2}_{\sim\sim} \quad \text{--- confirm}$$

Bernhart & Kainen  
[1979]

book thickness

Conj. If  $2 \leq \text{bt}(G)$ ,

then  $\chi(G) \leq 2k$ .

note: If  $\text{bt}(G) < 2$ , then

$G$  is outerplanar.

$\chi(\text{outerplanar}) \leq 3$

ex.  $G = K_3$  <sup>sharp</sup>

$$\text{bt}(K_3) = 1$$

$$\chi(K_3) = 3$$

Lemma 2

If  $2n_c \leq d_0 = |V(G)|$ , then  $\delta(G) \leq 3+k$ ;  
 $\chi(G) \leq 4+k$ .

Lemma 3

If  $2kn_c \leq d_0$  then  $\delta \leq 6$ ;  
 $\chi(G) \leq 7$ .

Conj (G. Turner)

If  $G \sqsubset T_k$  and

$\forall i \in \{1, 2, \dots, k\} \quad \text{int}(M_i) \cap V(G) \neq \emptyset$ ,  
then  $\chi(G) \leq 6$ .

(idea: Apply 4CT to  
behind each membrane  $M_i$ )

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Thank you!